

Cardiac Gene Therapy When, Where and Why?

Dr. Alexander Lyon

Senior Lecturer and Consultant Cardiologist

Imperial College and Royal Brompton Hospital

National Heart and Lung Institute,

Imperial College, London

Imperial College
London

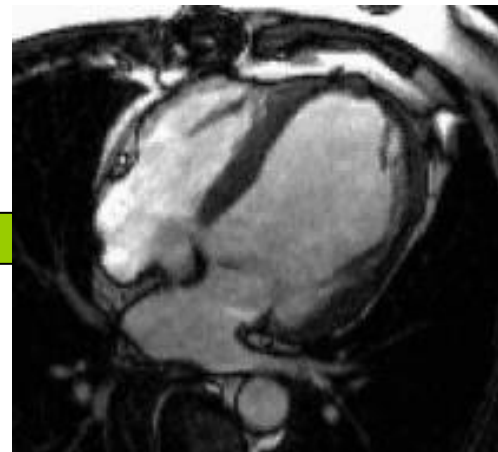
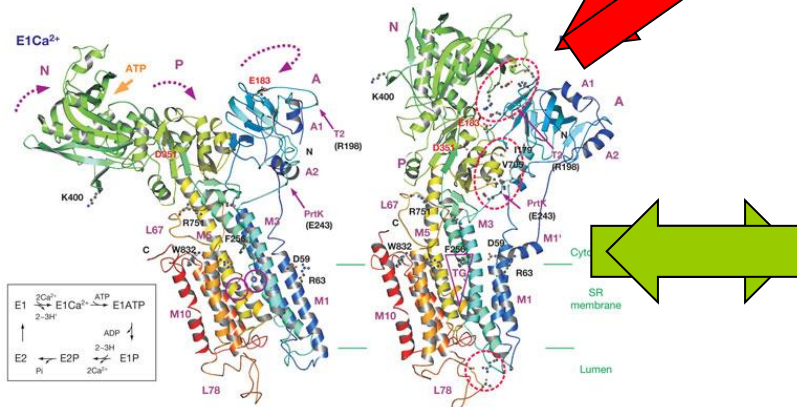
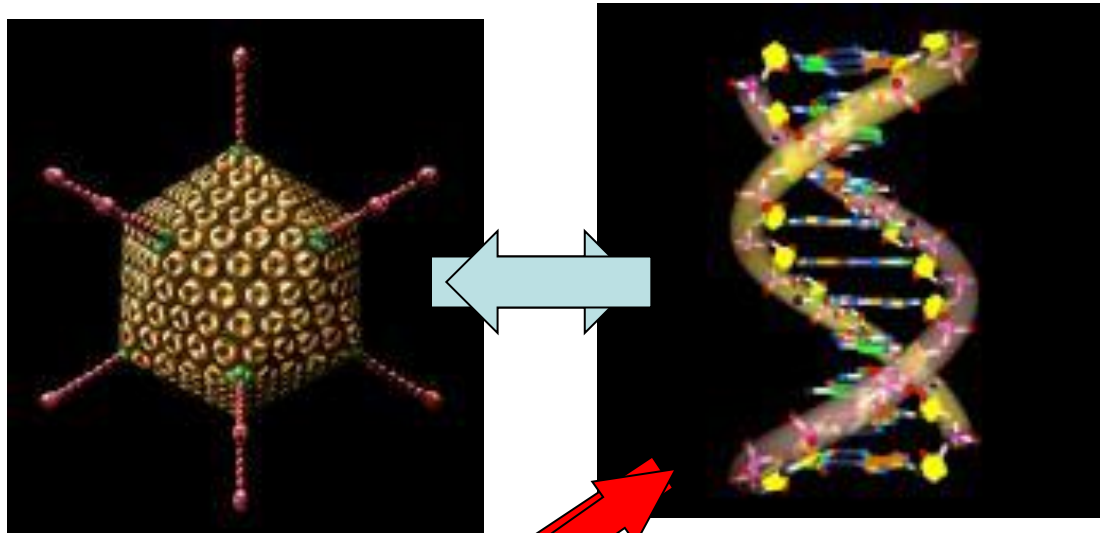


a.lyon@ic.ac.uk



OVERVIEW

- What is Cardiac Gene Therapy
- Clinical Gene Therapy
- The Past
- Cardiac Gene Therapy
 - Strategy
 - Clinical considerations
 - Gene Therapy Vectors
- The Present
- Candidate genes therapies for CCF
 - SERCA2a gene therapy
 - Development from bench to bedside
 - Arrhythmia Safety Studies
 - Clinical Trials
- The Future

Gene Therapy in Cardiac Failure



Cardiac Gene Therapy

- Myocardial Ischaemia 
 - Angiogenesis
- Myocardial Dysfunction / Heart failure 
 - Abnormal Ca²⁺ Cycling
 - Inflammation, cell survival, ECM remodelling
- Monogenic Cardiac Diseases
 - Ion Channelopathies
 - LQTS, SQTS, Brugada, CPVT
 - Familial DCM/HCM/ARVC
- Pulmonary Hypertension
- Atherosclerosis
- Tachyarrhythmias
- Biological pacemakers
- Cardiac Allograft Rejection

Clinical Gene Therapy

- Sceptism in Medical Community
- 20 years of 'promises'
- Tragedies in 1990s
- Inadequate SAE reporting (37/940!)
- Conflicts of Interest
- Lack of Overt Progress during 1990s
 - Cardiac angiogenesis trials – VEGF, FGF-4
 - NB adenoviral vector, transgene selection

Cardiac Gene Therapy

The Past

- AGENT trial programme
 - Angiogenesis for myocardial ischaemia
 - Vector Adenovirus
 - Gene FGF-4
 - Delivery IC infusion
- AGENT 2 – Phase 2 – 52 pts 2:1 tx vs placebo
 - Non-significant trend to ↓ ischaemia
 - 13/35 pts SAEs – transient ↓ plts, ↑LFTS
- AGENT 3 – Phase 3 trial – design 450 pts
 - Recruitment stopped after interim analysis 300 pts
 - No benefit

Cardiac Gene Therapy

The Past

- REVASC trial
 - Angiogenesis for myocardial ischaemia
 - Vector Adenovirus
 - Gene VEGF121
 - Delivery Surgical Intramyocardial injection
 - 77 pts stable angina – 35tx vs 32 placebo
 - Improved symptom scores and time to ST↓
 - No difference on myocardial perfusion scans
 - 1 death (post operative)
 - No vector related SAEs

Cardiac Gene Therapy

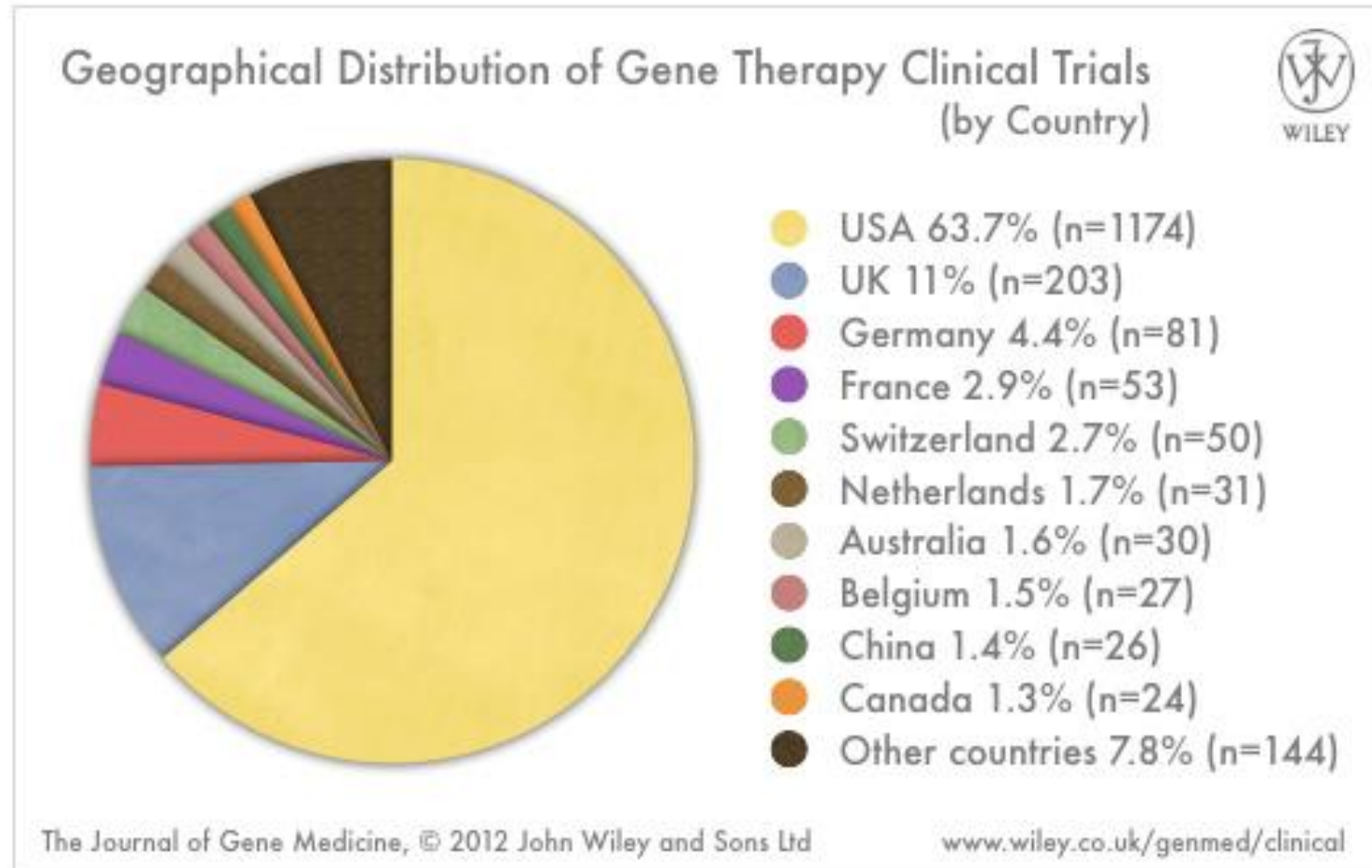
The Present

Clinical Gene Therapy

The Present

- New Regulation
 - FDA, EMEA
 - Cell and Gene Therapy Specific National Ethics Committees
- New Vectors
- New Clinical Gene Therapy Successes from 2006-present
 - Metastatic Melanoma
 - Haemophilia
 - Metastatic Breast Carcinoma
 - Leber's Congenital Amaurosis

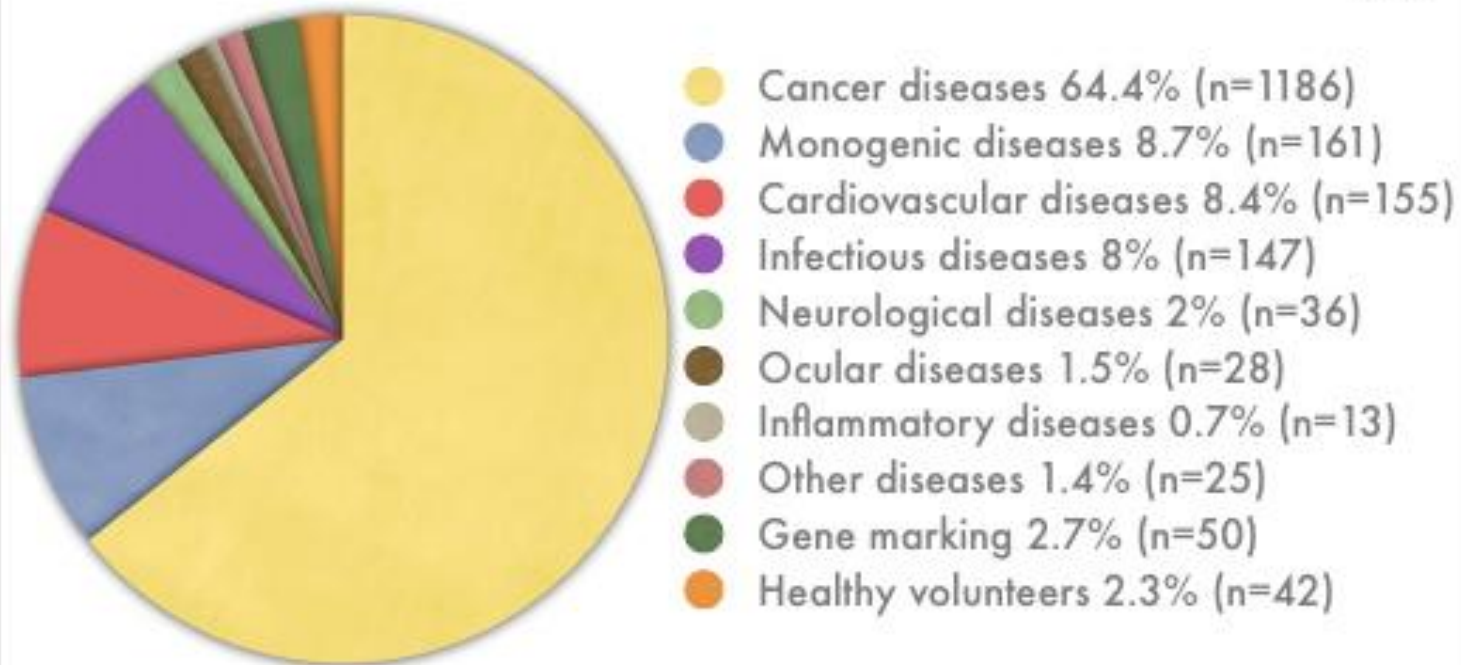
Clinical Gene Therapy 2012



1793 patients worldwide
394 pts in Europe (~25%)

Clinical Gene Therapy 2012

Indications Addressed by Gene Therapy Clinical Trials



Clinical Cardiac Gene Therapy

Practical Issues

1. Delivery
2. Therapeutic Efficacy
3. Safety

No different to any other clinical treatment

Clinical Cardiac Gene Therapy

Practical Issues

1. Delivery

- Restrict gene expression to target tissue
 - myocardium
- Effective gene transfer into cells
 - ~ 10^{10} - 10^{11} cardiomyocytes in human left ventricle
- Effective gene expression
 - Timescale
 - » Transient
 - » Prolonged
 - » Lifelong
 - High levels for therapeutic efficacy

Answer Viral Vectors

Clinical Cardiac Gene Therapy

Practical Issues

2. Therapeutic Efficacy

- Gene selection
- Effective protein production/suppression
- Biological effect
 - Does significant biological effect translate to significant clinical effect?
 - Can this be measured clinically?
- Clinical Outcomes
 - Functional endpoints
 - LVEF
 - LV Remodelling
 - Arrhythmias
 - Neurohormonal markers - BNP
 - QOL/symptoms/exercise tolerance
 - Morbidity and Mortality

Clinical Cardiac Gene Therapy

Practical Issues

3. Safety

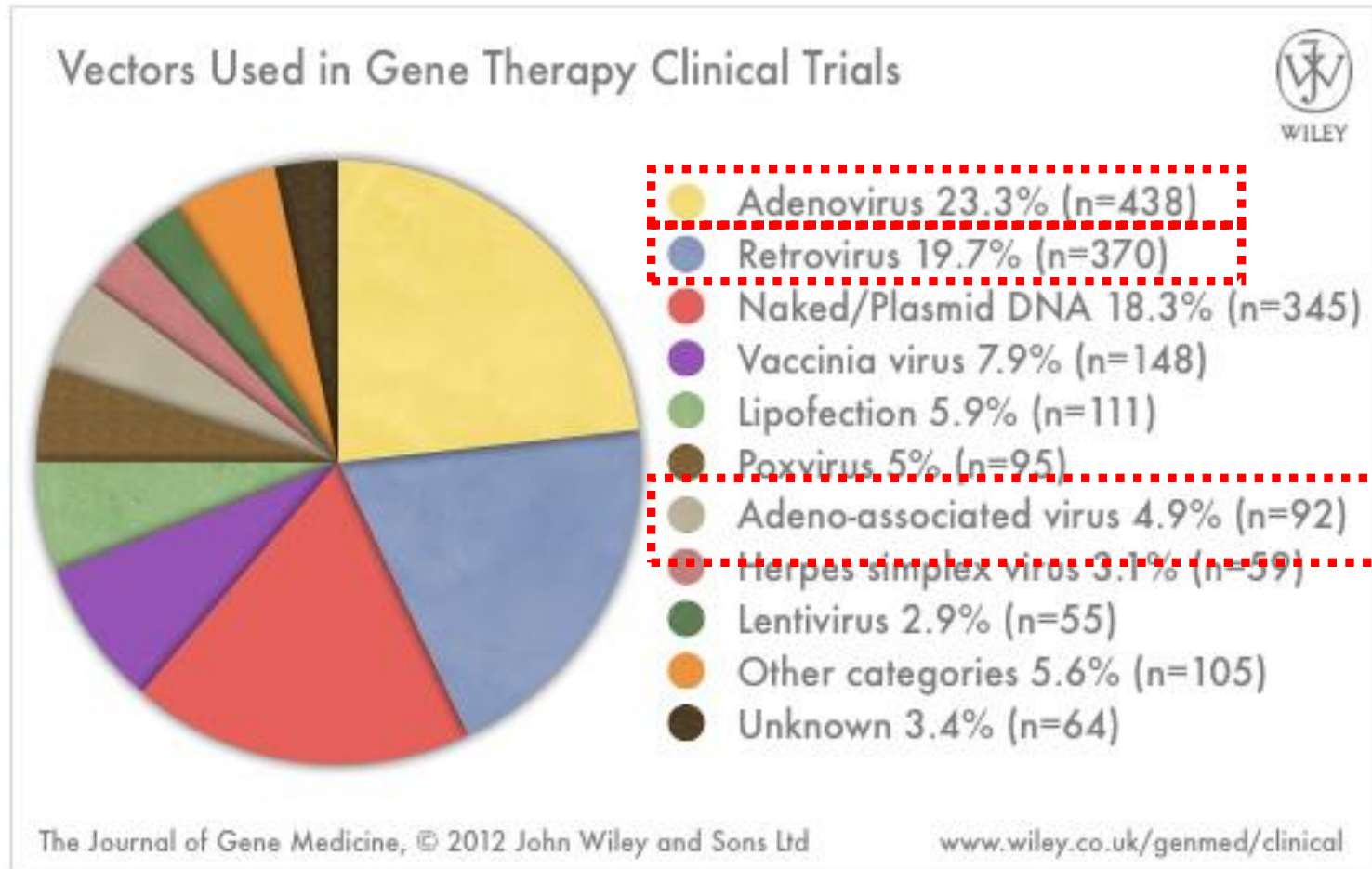
- Who?
 - Patient
 - Close contacts
 - Family
 - Sexual Partners
 - Future Children of Patient
 - Hospital Staff
 - Society
- Why?
 - Cardiac
 - Arrhythmias
 - Myocarditis
 - Increased impairment
 - Systemic
 - Off target effects
 - Inflammatory response syndromes
 - Malignancy
 - Vertical transmission

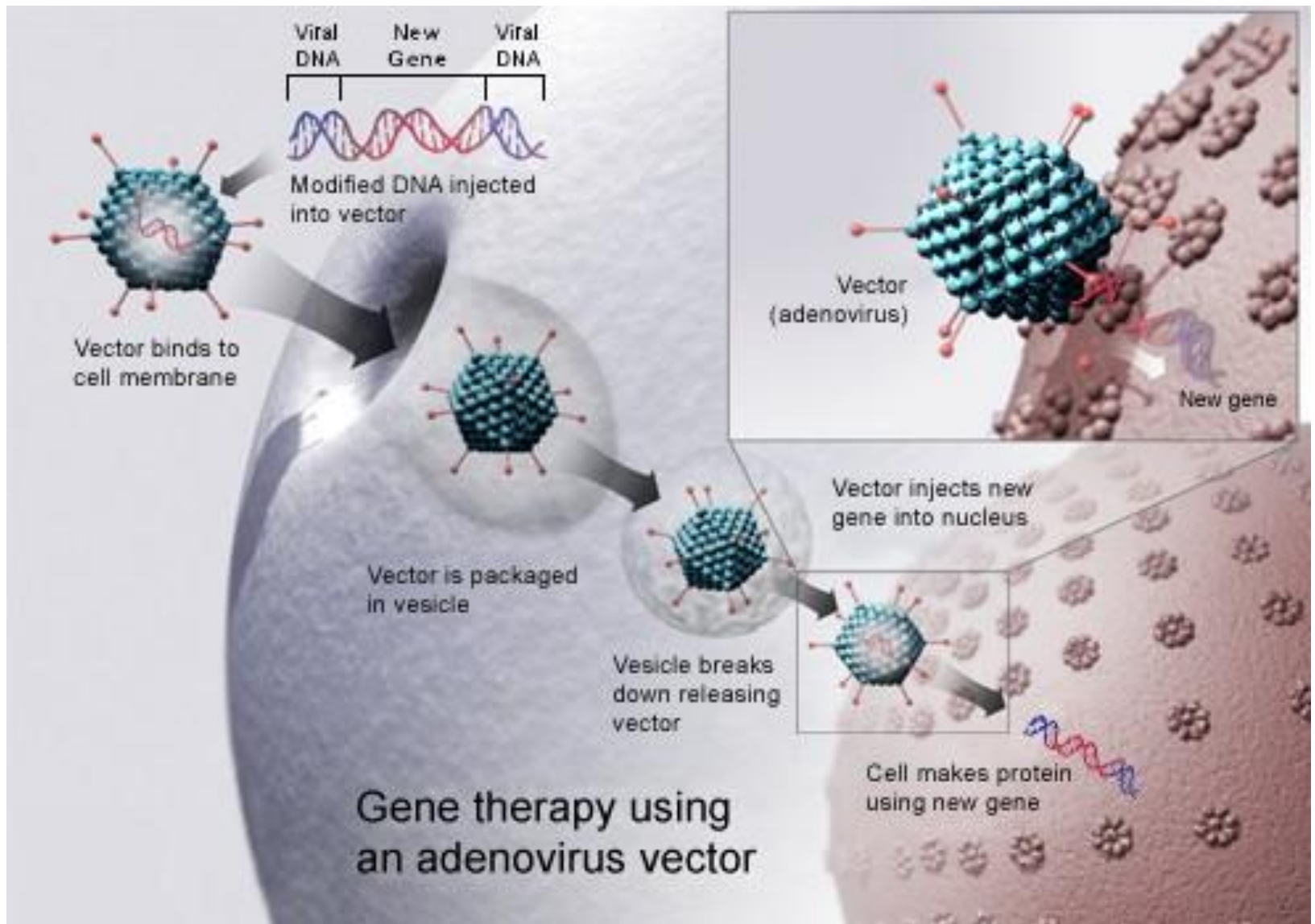
Viruses

Concerns re Unexpected Problems!



Viral Vectors in Registered Clinical Gene Therapy Trials





Viral Vectors for Cardiac Gene Therapy

Advantages

- High levels of therapeutic gene expression
- Improve tissue targeting with natural tropism
- Produced in high quantities
- Candidates for Myocardial Gene Therapy:
 - Adenoviruses (Ad.)
 - Retroviruses + Lentiviruses (R+L)
 - Adeno-Associated Viruses (AAV)
- All clinical (and research) vectors are recombinant and non-replicating

Viral Vectors for Cardiac Gene Therapy

Disadvantages

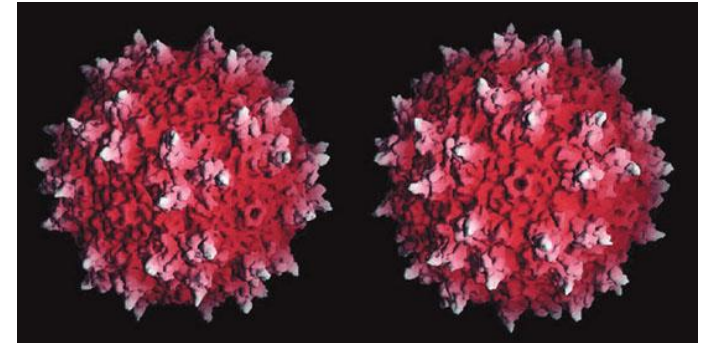
- Provoke immune response (esp. Ad.)
 - Reduces efficacy/duration of gene expression
 - Promotes immune response against host tissue
 - Myocarditis
 - Arrhythmias
 - Neutralising antibodies (all including AAV)
- Lack complete tissue specificity (Ad, R+L)
- Recombination with natural pathogenic viruses
- Cancer Risk
 - DNA integration can promote 'insertional mutagenesis' (R+L)
 - SCID children with Retrovirus Gene Therapy ↑Lymphomas
- Smaller viruses limit size of packaged gene (AAV)
- Expensive to produce in GLP/GMP facilities

Cardiac Gene Therapy

The Present

Adeno-Associated Viral Vectors

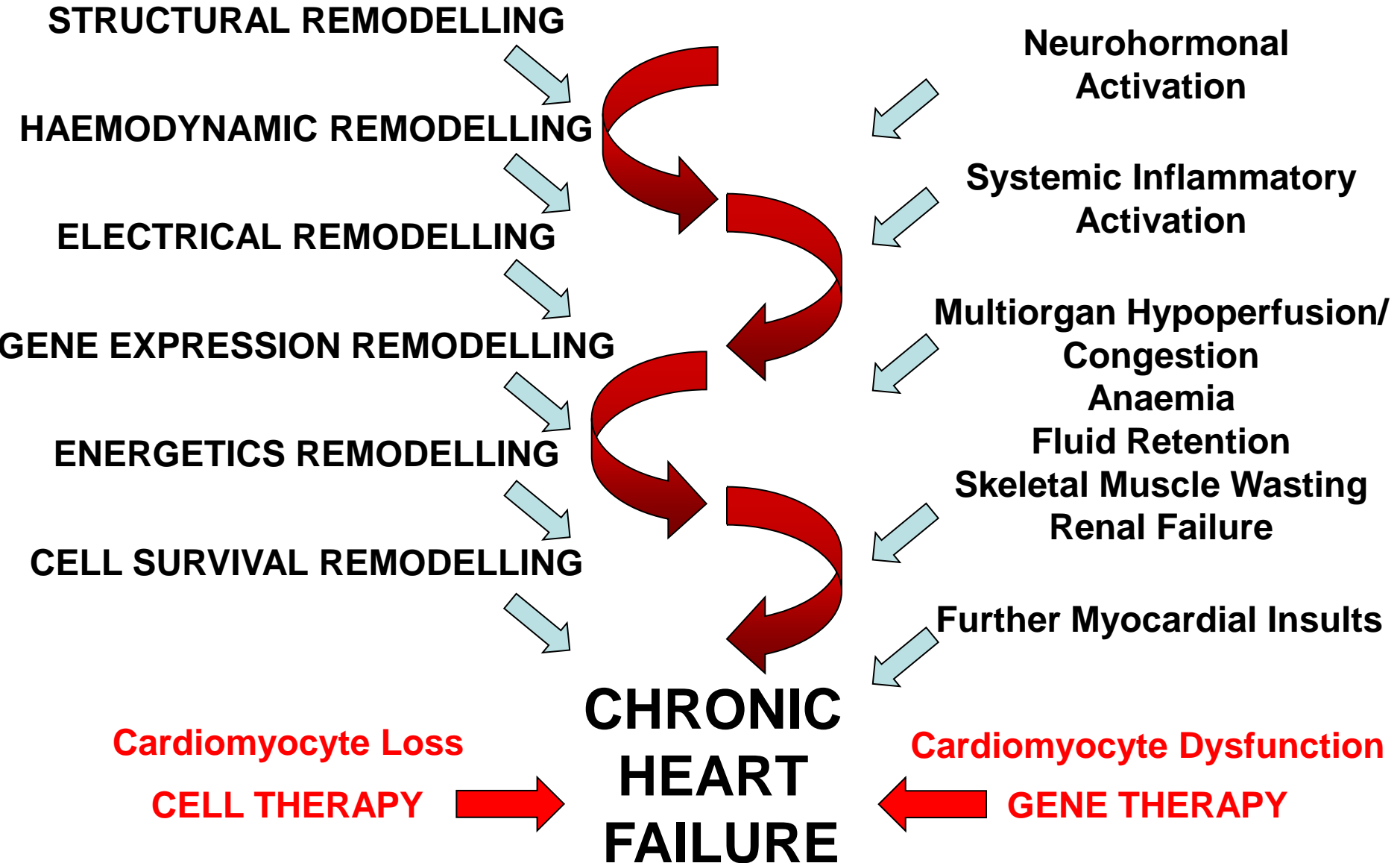
- Non-pathogenic
- Minimum immunogenicity
- Episomal
- Safety in Humans
 - haemophilia gene therapy trials
- Longterm gene expression in primate muscle studies
- Cardiotropic
 - AAV1
 - AAV6
 - AAV9
- Small
- Safe with immunosuppression in pigs
- NB FIX trial – upper dose ceiling



Which Protein/Gene?

MYOCARDIAL INJURY

e.g. Infarction, myocarditis, chemotherapy, mutation
Cardiomyocyte Loss



Myocardial Gene Therapy

Candidate Genes for Heart Failure

- Cardiomyocyte Ca²⁺ Cycling
 - SERCA2a ★
 - S100A1 ★
 - PLB (dn or as)
 - I-1c ★
- β Adrenoceptor Signalling Pathways
 - βARKct ★
 - Uptake 1
- Anti-inflammatory
 - Soluble TNFα Receptor Fragments
 - ROS Scavengers
 - Haemoxygenase 1
 - Superoxide Dismutase
- Antiapoptotic
 - Cyclin A

Cardiomyocyte Calcium Physiology

Excitation-Contraction Coupling

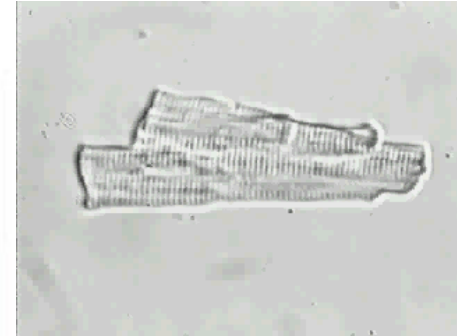
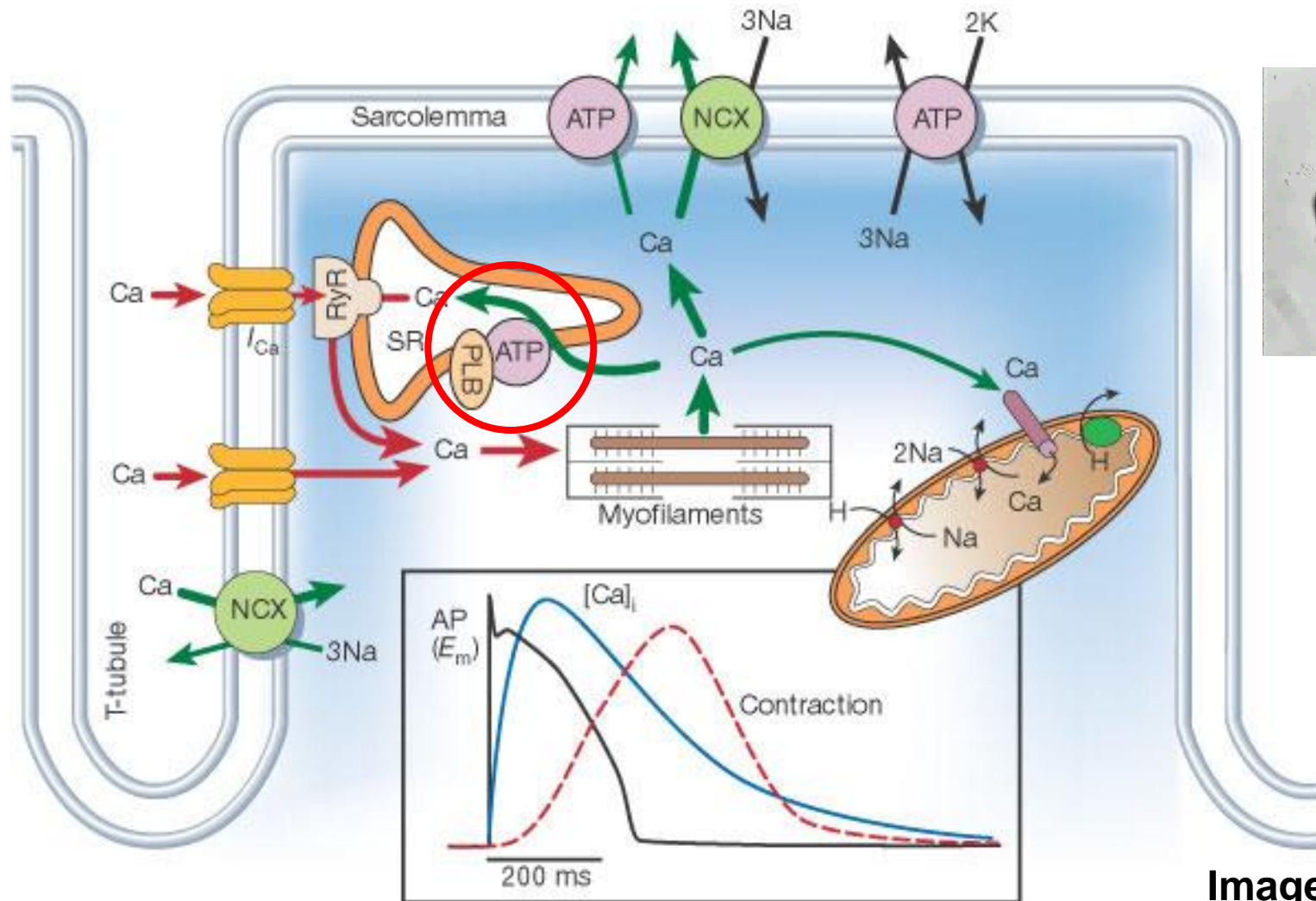


Image from Bers D.
Nature 2002 415:198-205

SERCA2a in Heart Failure

- SERCA2a activity is reduced in Cardiomyocytes from failing hearts – human + animal models
 - This causes:
 - Delayed removal of Ca^{2+} in diastole
 - Reduced velocity of diastolic relaxation, leading to increased diastolic chamber stiffness
 - Reduced SR Ca^{2+} stores for systolic release → Reduced inotropism
 - Increased frequency of afterdepolarisations
 - Contributes to action potential prolongation
- } VT/VF

SERCA2a Gene Therapy and Heart Failure

- SERCA2a improves cardiac function
 - Ca^{2+} cycling + SR Ca^{2+} stores
 - Contractility
 - Relaxation
 - Energetics (NB vs dobutamine, milrinone etc)
- Isolated CM – failing human, rat, rabbit
- Animal HF models
 - Rat – Aortic Banding, Acute Post MI, Chronic Post MI HF
 - Mouse – Aortic Banding
 - Pig – Acute and Chronic MR
 - Sheep – Tachypacing HF

In Vitro Adenoviral transfection of myocytes from failing human ventricle

Non-Failing Myocyte + Ad.GFP

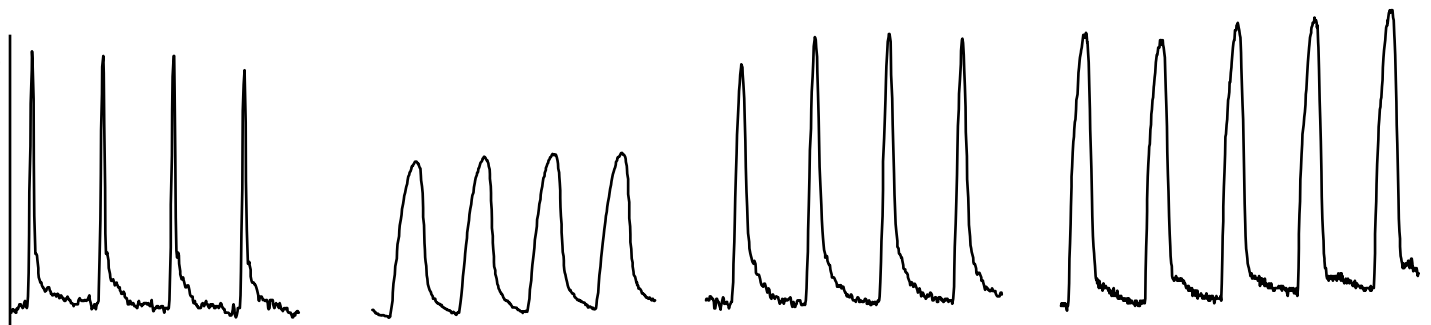
Failing Myocyte + Ad.GFP

Failing Myocyte + Ad.SERCA2a

Failing myocytes + Ad.PLB-as

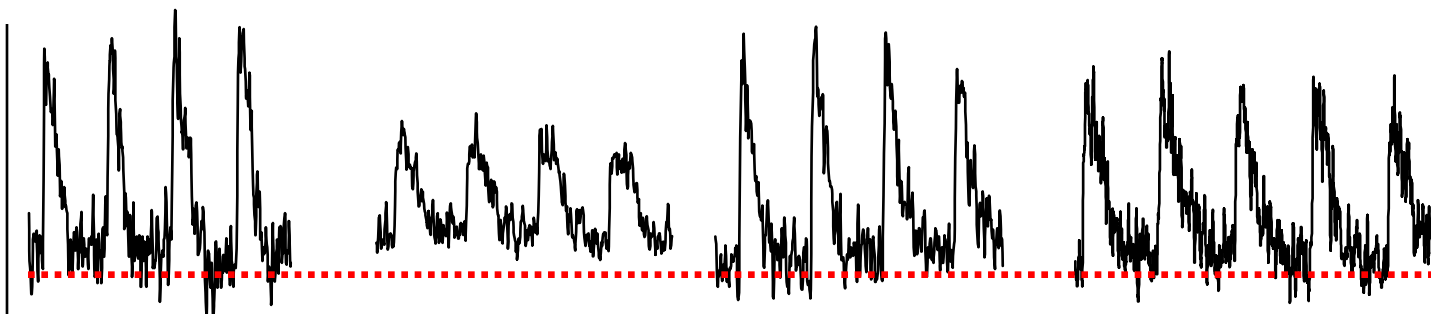
% shortening

15
0



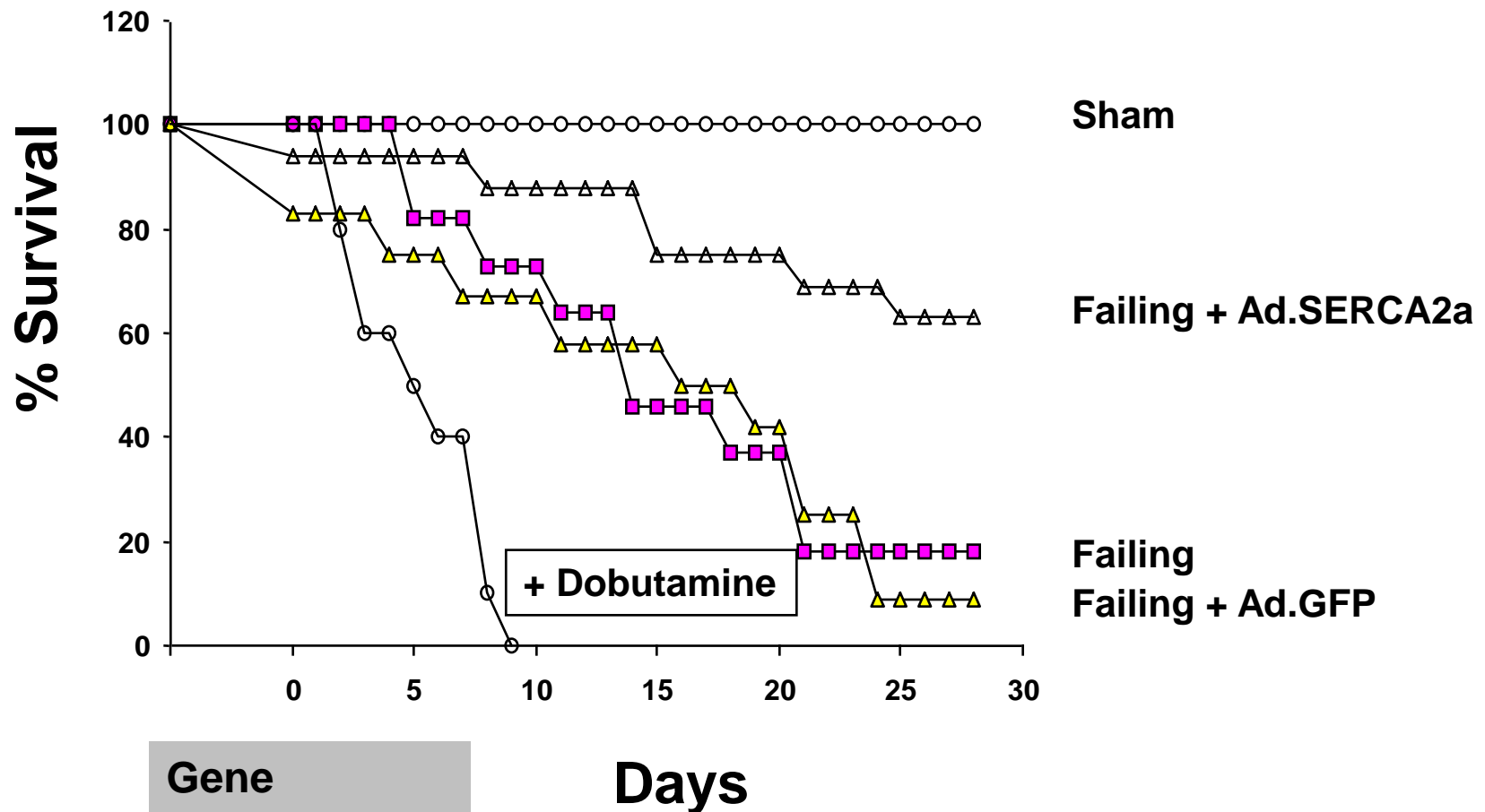
[Ca²⁺]_i (mM)

1.5
1.0



1
s

Effect of SERCA2a Gene Transfer on Survival in Rats with Pressure-Overload Hypertrophy in Transition to Heart Failure

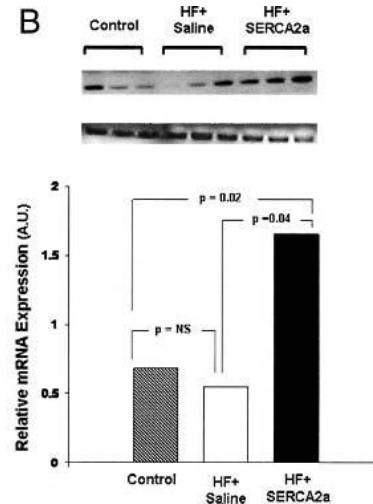
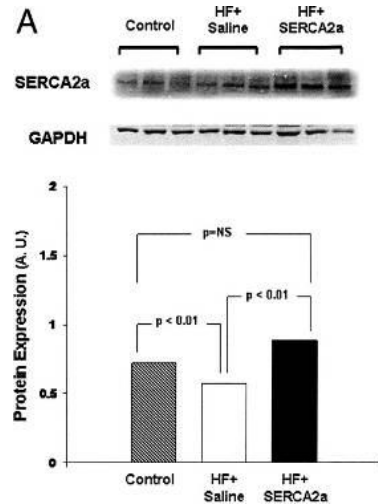
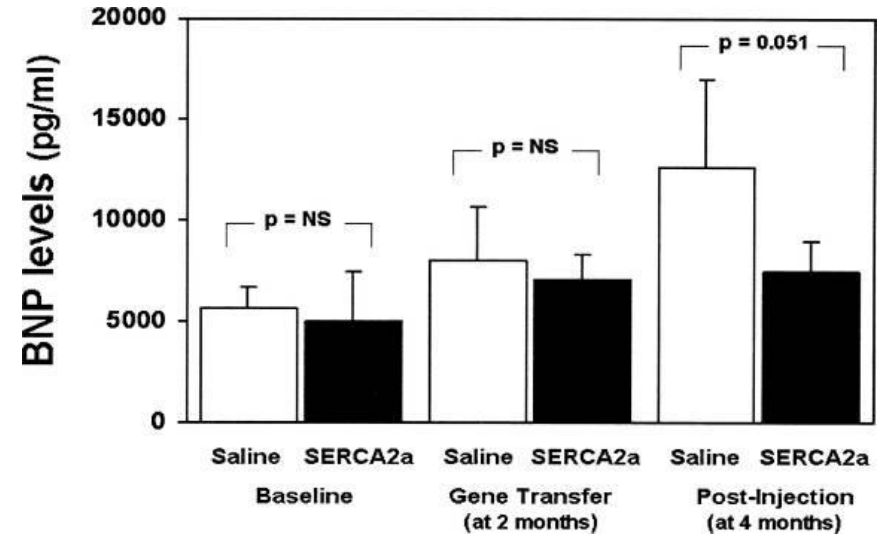
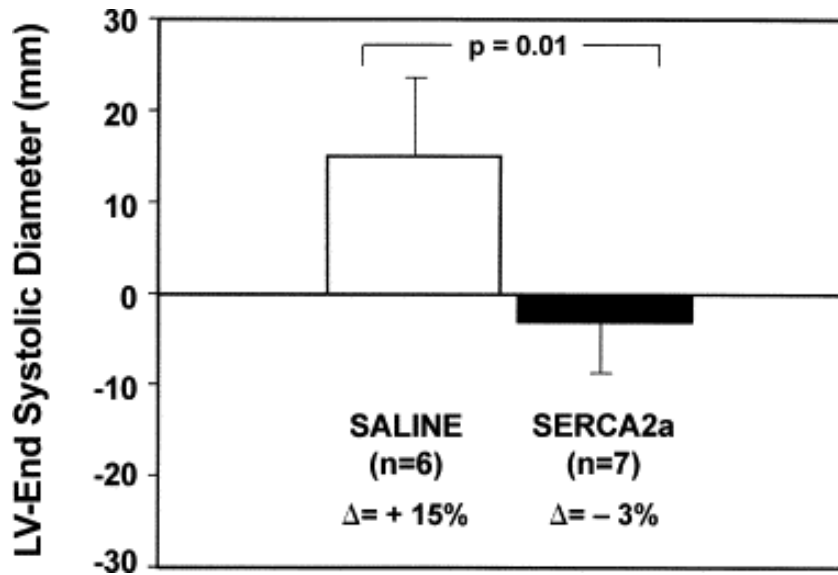


Gene Transfer

Pig Chronic MR HF Model

AAV1.SERCA2a at 2months post MR

Assessment at Baseline, 2m (PREGENE) and 4m (POSTGENE)



CUPID – Phase 2 Clinical Trial

Heart Failure

Calcium Upregulation by Percutaneous Administration of Gene Therapy in Cardiac Disease (CUPID)

A Phase 2 Trial of Intracoronary Gene Therapy of Sarcoplasmic Reticulum Ca²⁺-ATPase in Patients With Advanced Heart Failure

Mariell Jessup, MD; Barry Greenberg, MD; Donna Mancini, MD; Thomas Cappola, MD; Daniel F. Pauly, MD, PhD; Brian Jaski, MD; Alex Yaroshinsky, PhD; Krisztina M. Zsebo, PhD; Howard Dittrich, MD; Roger J. Hajjar, MD; on behalf of the Calcium Upregulation by Percutaneous Administration of Gene Therapy in Cardiac Disease (CUPID) Investigators

Circulation 2011, 124:304-313: originally published online June 27, 2011
doi: 10.1161/CIRCULATIONAHA.111.022889

CUPID Trial Population

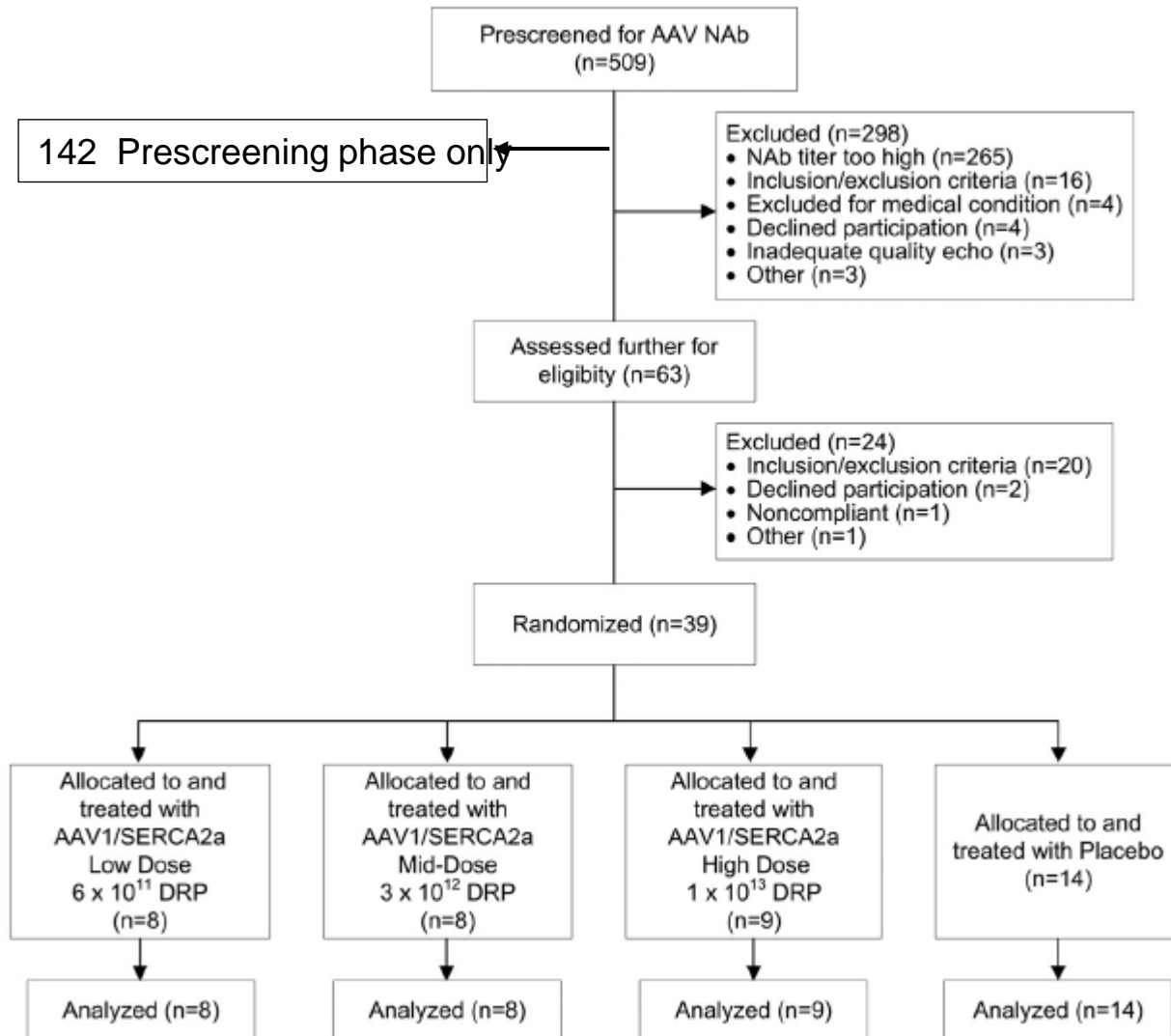
Severe Chronic Heart Failure: NYHA III/IV

- Stable advanced HF, LVEF <35%, MVO₂< 20 ml/kg/min
- Optimal medical and device therapy
- ICD

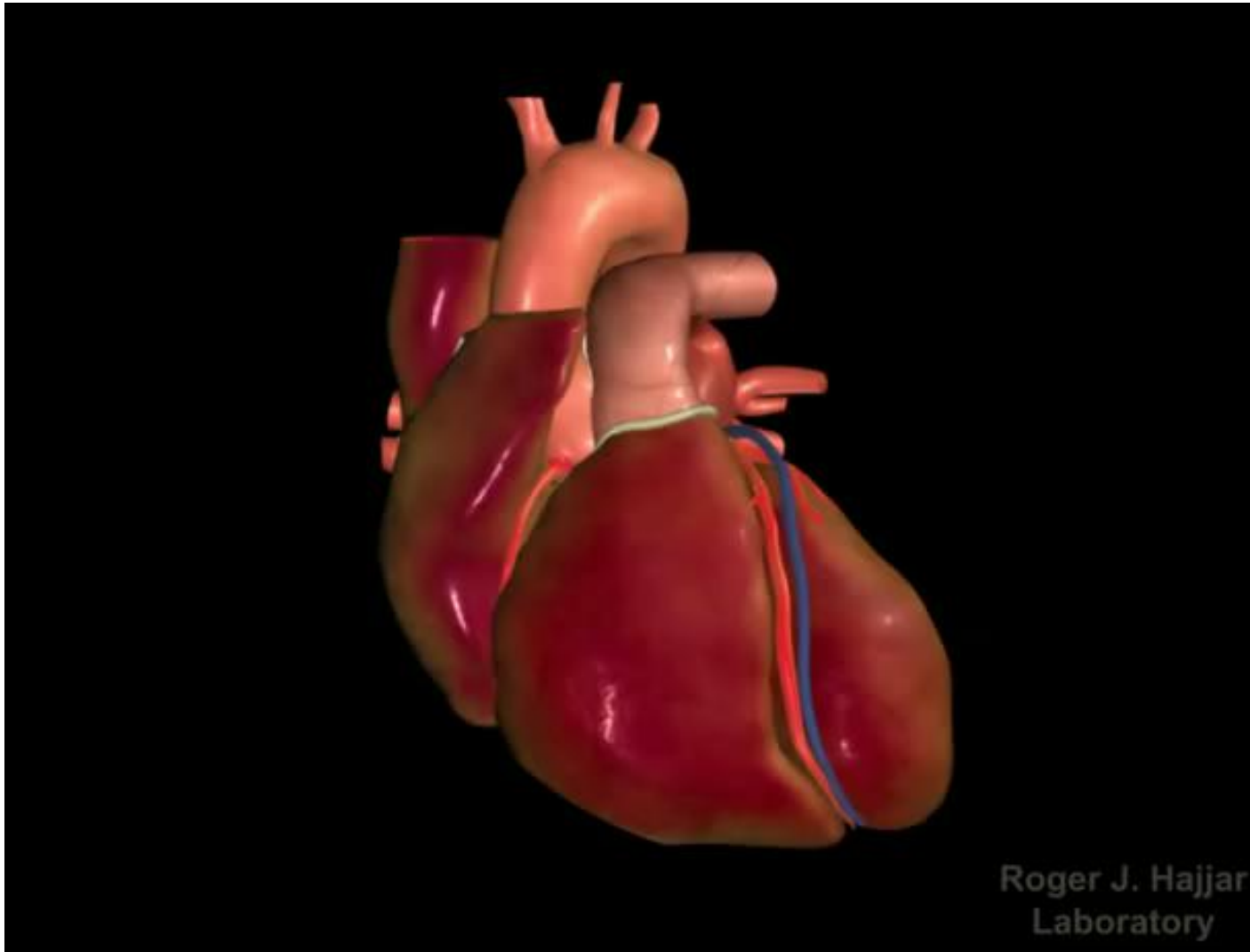
- Events in pre-screened patients (n=102)
 - Worsening Heart Failure n=11 (11%)
 - Transplant n=9 (9%)
 - Implanted LVAD n=2 (2%)
 - Other CV hospitalization=7 (7%)
 - All-Cause Death n=4 (4%)
- Total n=22 (22%) in 14 months

CUPID Trial

Patient enrollment



Clinical AAV SERCA2a Gene Therapy



CUPID Trial Safety Results

- Well tolerated
- No adverse events secondary to infusion
- 4 transient positive ELISPOT tests
 - 2 concomitant disease
 - 2 asymptomatic
 - No associated troponin/CK /LFT rise
- No increase in arrhythmia on ICD interrogation

CUPID Phase 2 SERCA2a Gene Therapy Trial

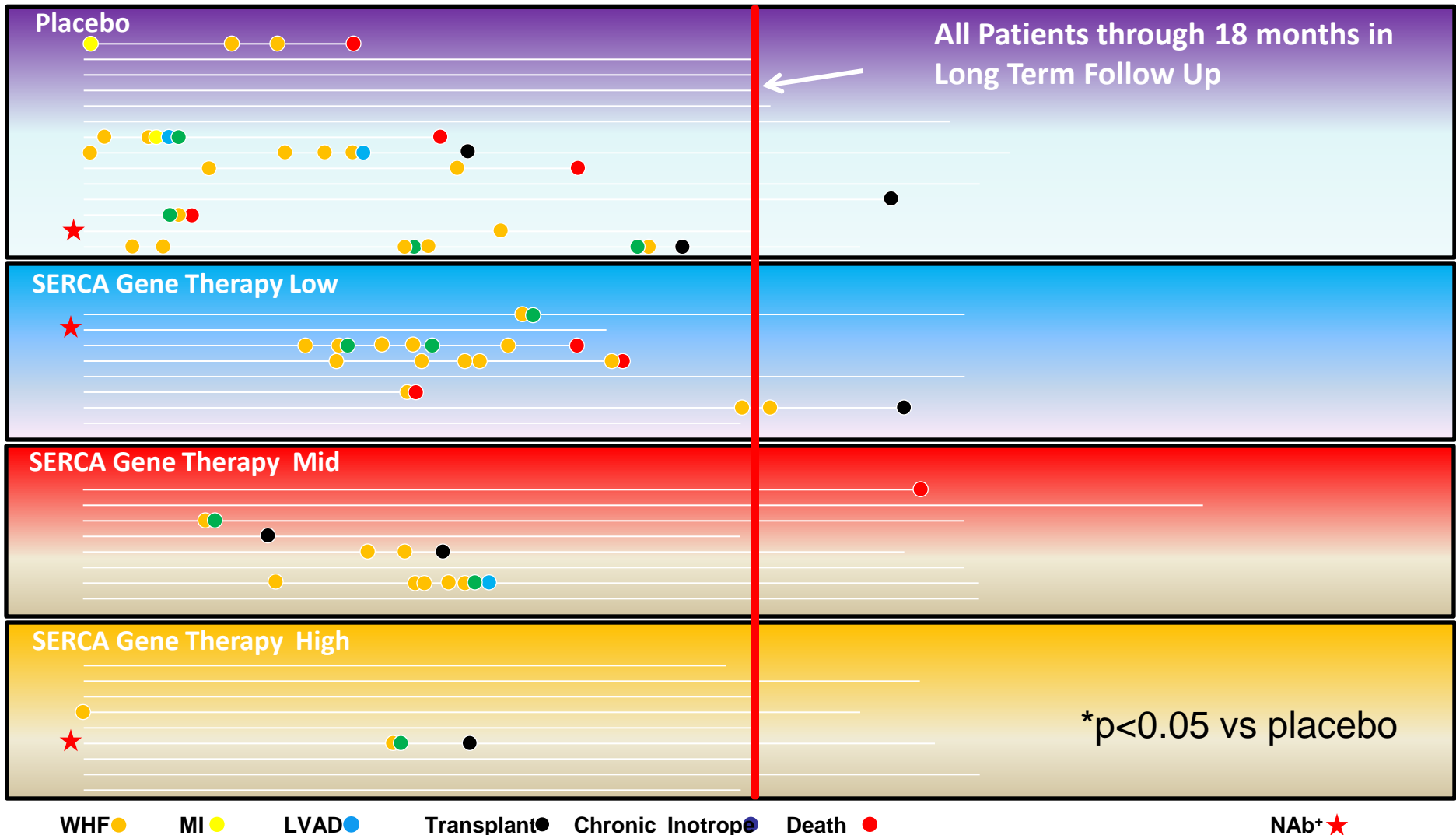
Time to Multiple Clinical Events: 39 patients

Months

6

12

18

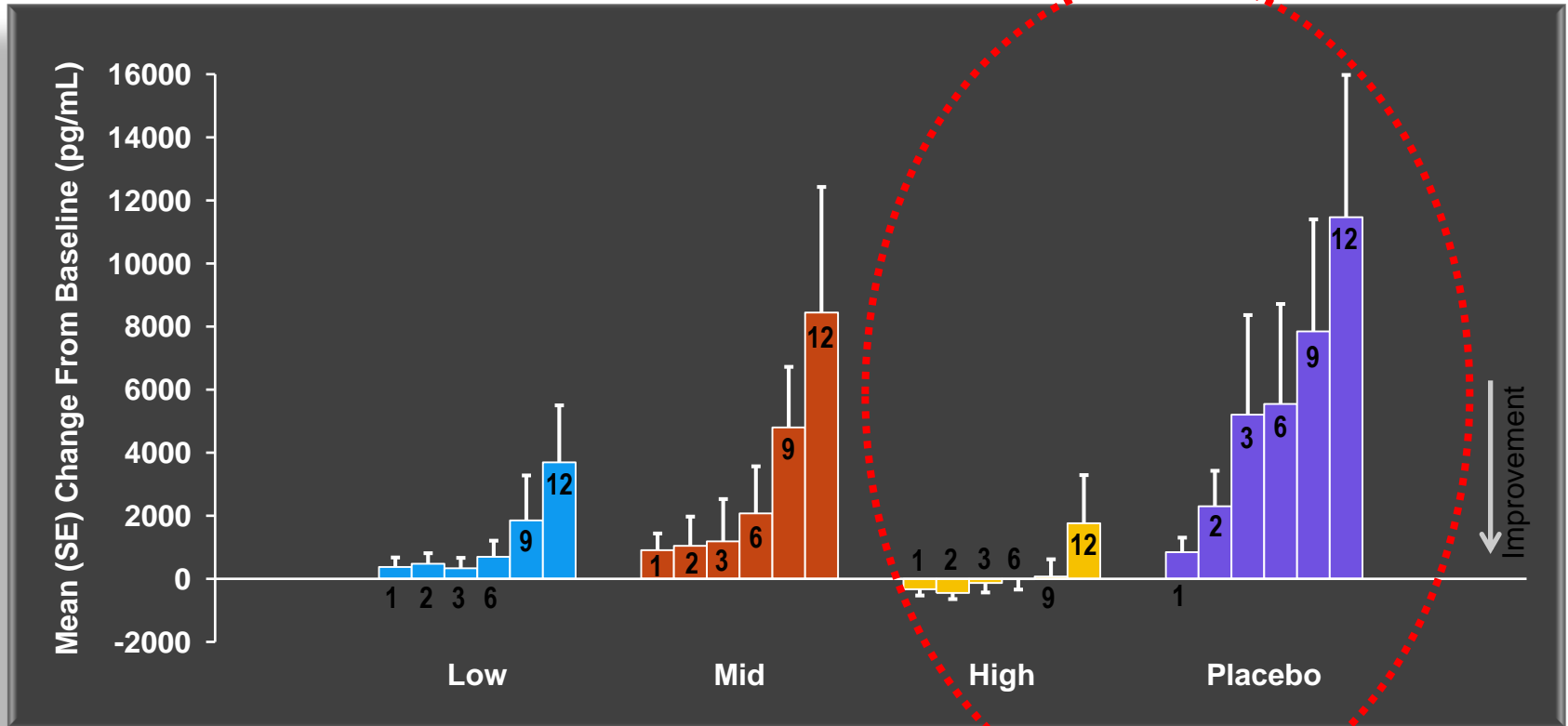


CUPID Trial

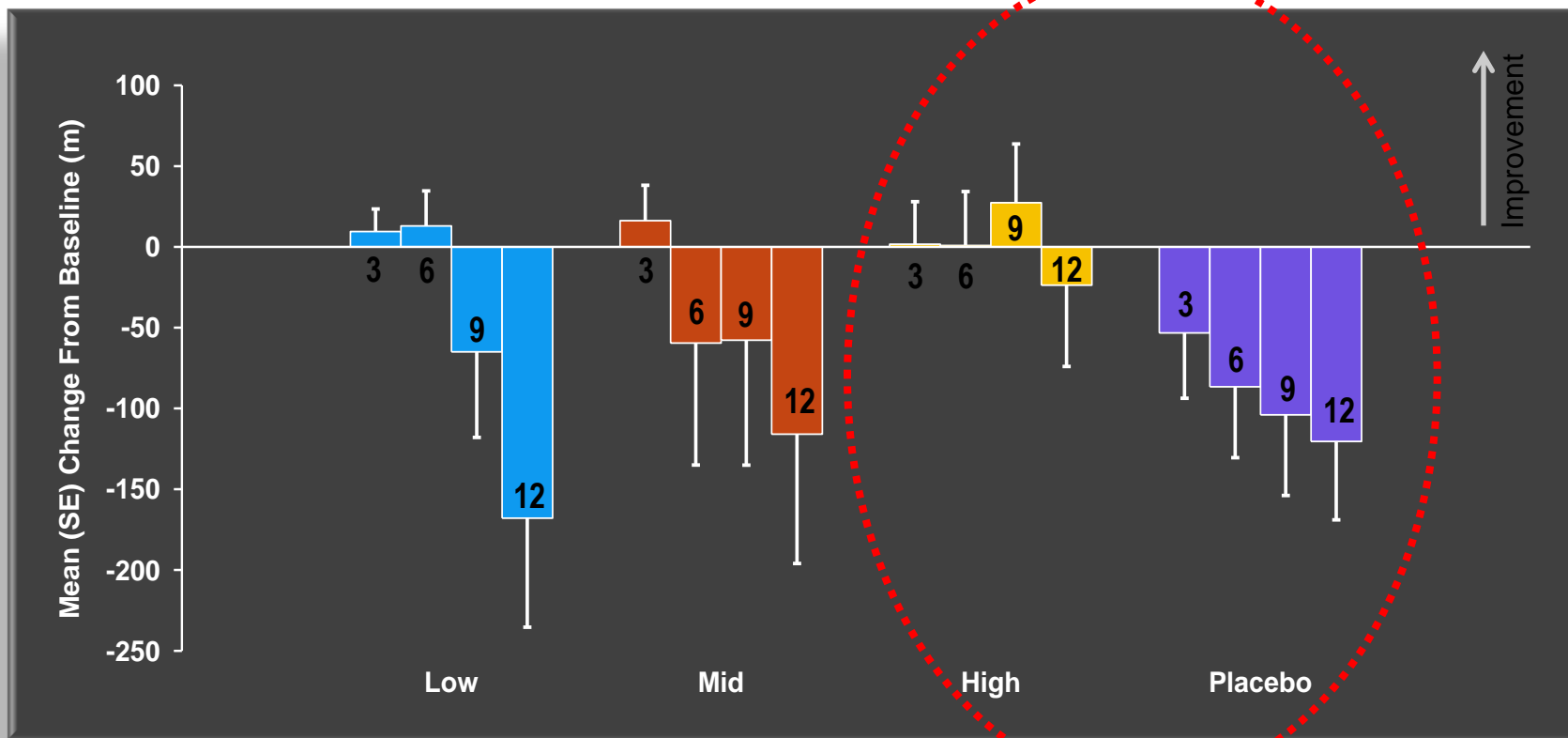
Major Endpoints at 18 months Death or Cardiac Transplantation

	DEATH	DEATH OR CARDIAC TRANSPLANT
PLACEBO	4/14	7/14 (50%)
LOW DOSE MYDICAR	3/8	4/8 (50%)
MID DOSE MYDICAR	0/8	2/8 (25%)
HIGH DOSE MYDICAR	0/9	1/9 (11%)

Serum Biomarker: NT-ProBNP



Exercise Test: 6 Minute Walk Test



FDA approval for a Phase 3 trial CUPID2 Trial granted April 2012

- International Multicentre Phase 3 trial
- Randomised, double blind, placebo controlled
- 200 pts with NYHA III-IV chronic systolic HF due to ischemic or dilated cardiomyopathy (LVEF <35%)
- Optimal medical and device HF therapy
- Randomised 1:1 to 'high dose' AAV1.SERCA2a gene therapy vs placebo (1×10^{13} drp)
- AAV ab negative

FDA approval for a Phase 2/3 trial

CUPID2 Trial

- Primary endpoint: Time-to-recurrent HF-related hospitalisation in the presence of terminal events (all cause death, heart Tx, LVAD)
- Secondary endpoints
 - time to terminal event (all cause death, heart Tx, LVAD), remodelling, biomarker, symptoms, QOL, safety
- 50 recruitment centres across US and Europe
- Aim to start Q4 2012
- Royal Brompton Hospital named UK recruitment centre
- UK National Coordinating Investigator

SERCA2a Gene Therapy for Heart Failure Unexpected Surprises



Could SERCA2a gene therapy in the failing ventricle be proarrhythmic?

Cellular and Subcellular Hypotheses

1. Cardiomyocyte Ca^{2+} cycling and arrhythmias
 - SR calcium leak arrhythmogenic
 - Ca^{2+} sparks \rightarrow Ca^{2+} waves \rightarrow DADs
 - DADs \rightarrow Ventricular ectopics (trigger) + (?) Sustained VT
 - SR calcium overload \rightarrow \uparrow leak
 - ? \uparrow SERCA2a \rightarrow \uparrow SR [Ca^{2+}] \rightarrow \uparrow SR Ca^{2+} leak \rightarrow \uparrow DADs
2. These arrhythmic mechanisms are potentially amplified with β 1AR + β 2AR activation
 - PKA – PLB, RyR2, I-1
 - CaMKII – RyR2, PLB

Could SERCA2a gene therapy in the failing ventricle be proarrhythmic?

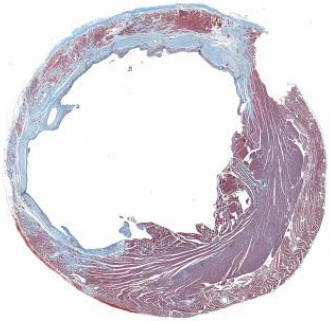
Multicellular, Tissue and Intact Organ Levels

3. APD heterogeneity – arrhythmogenic – local reentry
 - Reduced Ca^{2+} transients contributes to APD prolongation
 - SERCA2a expression shortens APD
 - Patchy SERCA2a transfection - ? \uparrow heterogeneity of APD

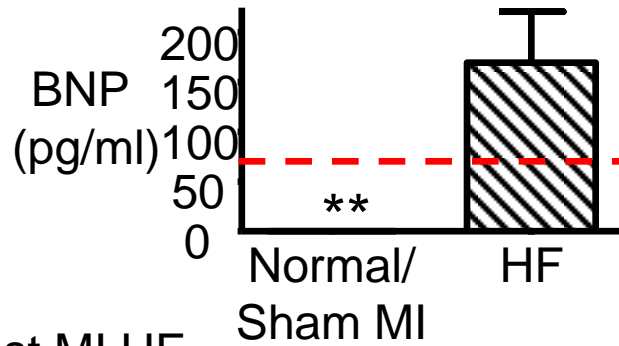
4. T wave and APD alternans arrhythmogenic
 - Secondary to discordant calcium alternans
 - Gradients maximal where SERCA2a expression lowest



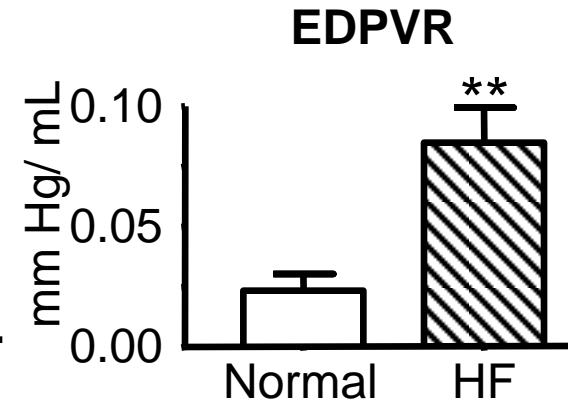
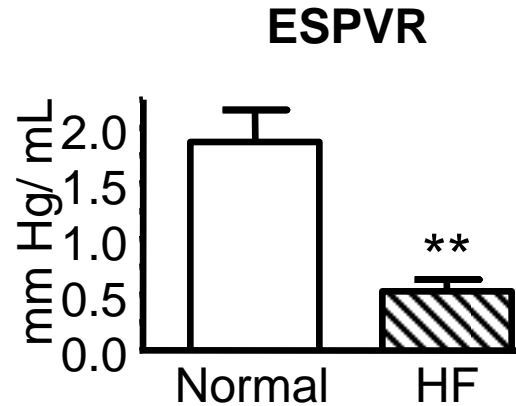
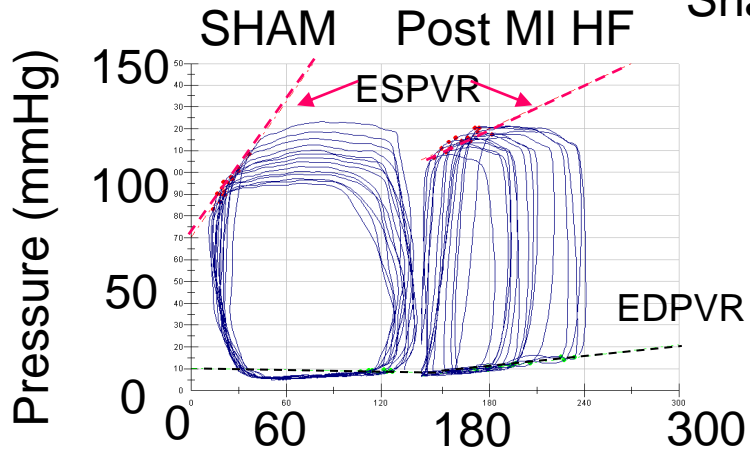
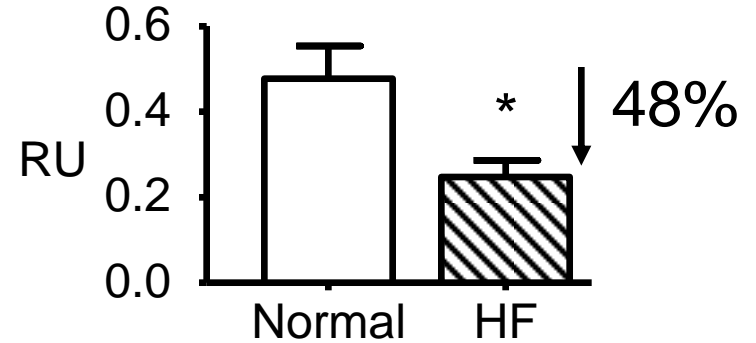
HF Model



Serum BNP



Myocardial SERCA2a



SERCA2a Gene Therapy Arrhythmia Studies

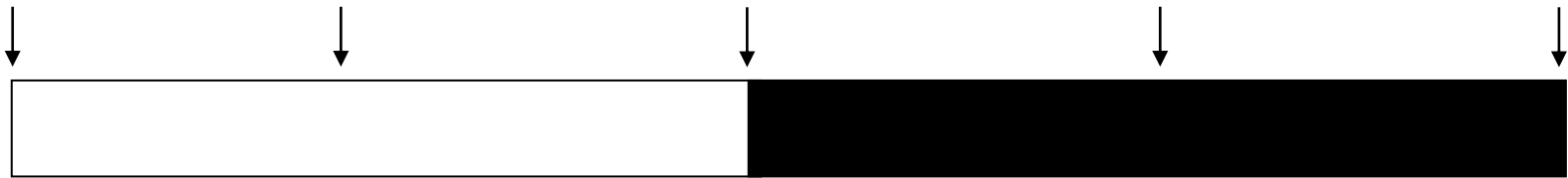
MI

In vivo
24 hour
ECG recording
+ ISO challenge
(PREGENE)

In vivo
Intramyocardial
Ad.SERCA2a.GFP
Gene Injection x3

In vivo
24 hour
ECG recording
+ ISO challenge
(POSTGENE)

Ex vivo
Langendorff:
PES Challenge
Western Blotting
PV Loops



Wk1

15

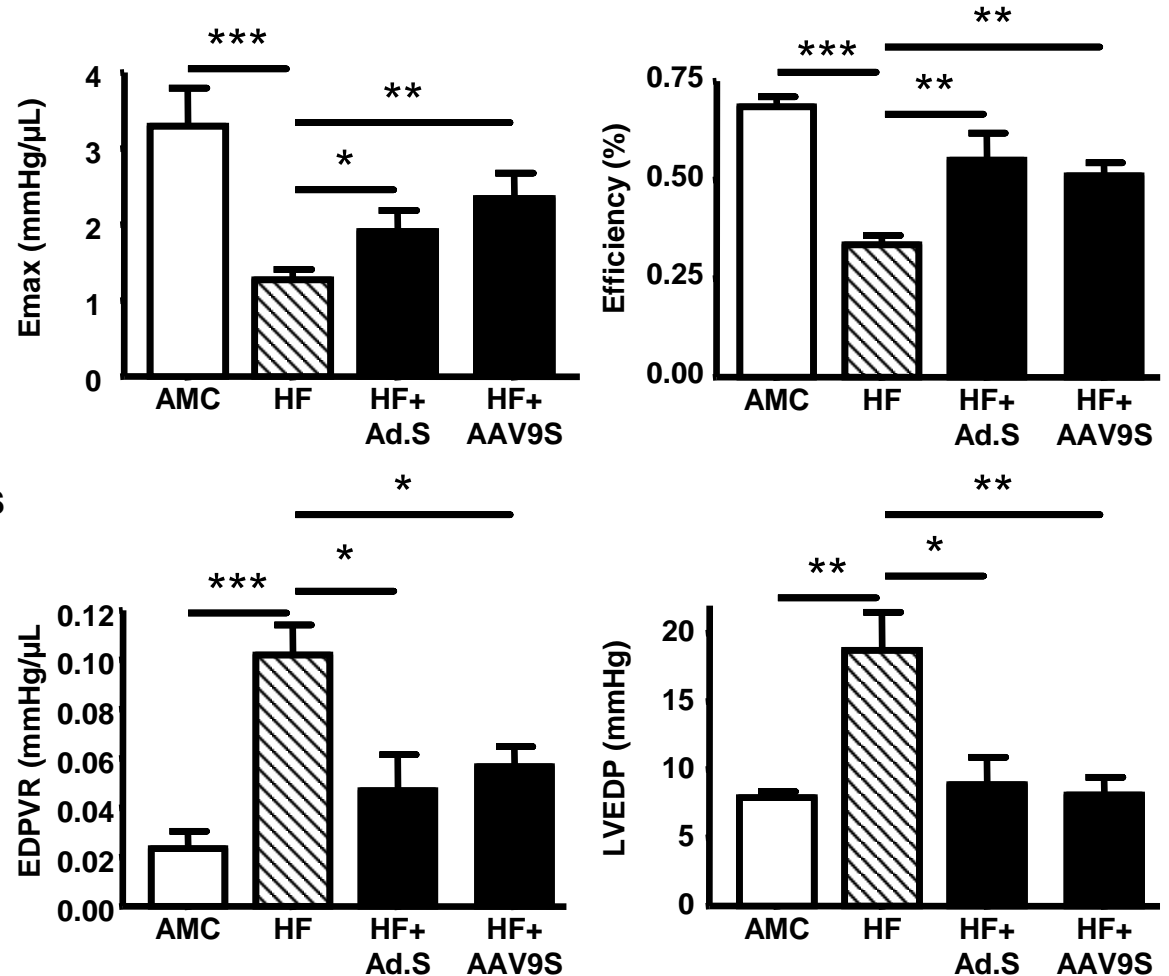
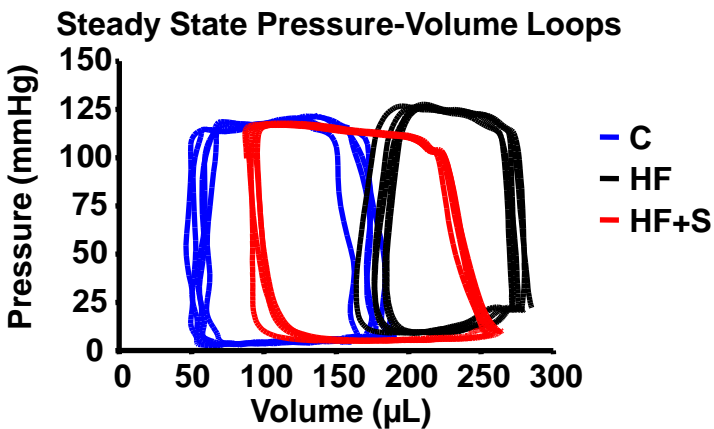
16

16+6/17

17+1



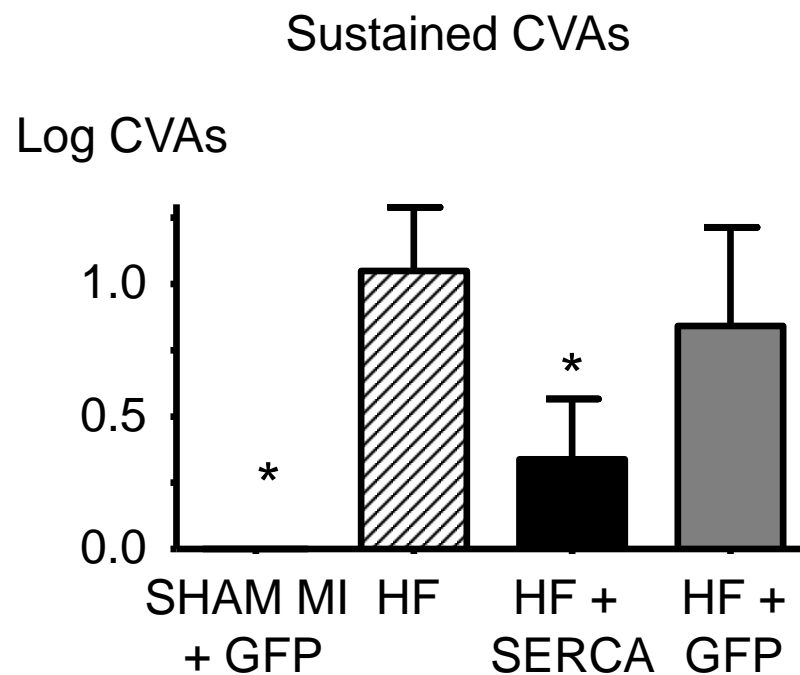
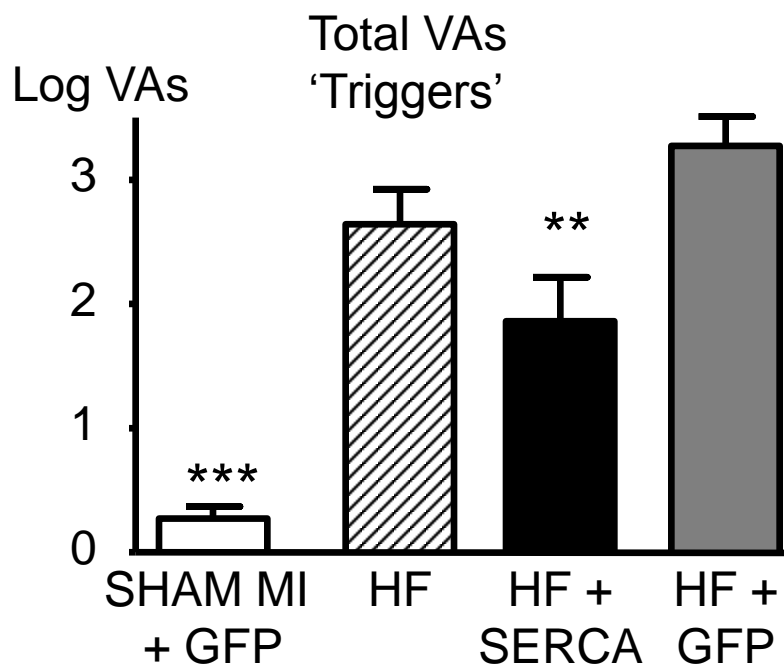
SERCA2a Gene Therapy is a Positively Inotropic and Lusitropic in the Chronic Post MI HF Model



* $p < 0.05$ ** $p < 0.01$ *** $p < 0.001$ vs HF

In Vivo Arrhythmia Assessment

Spontaneous Ventricular Arrhythmias

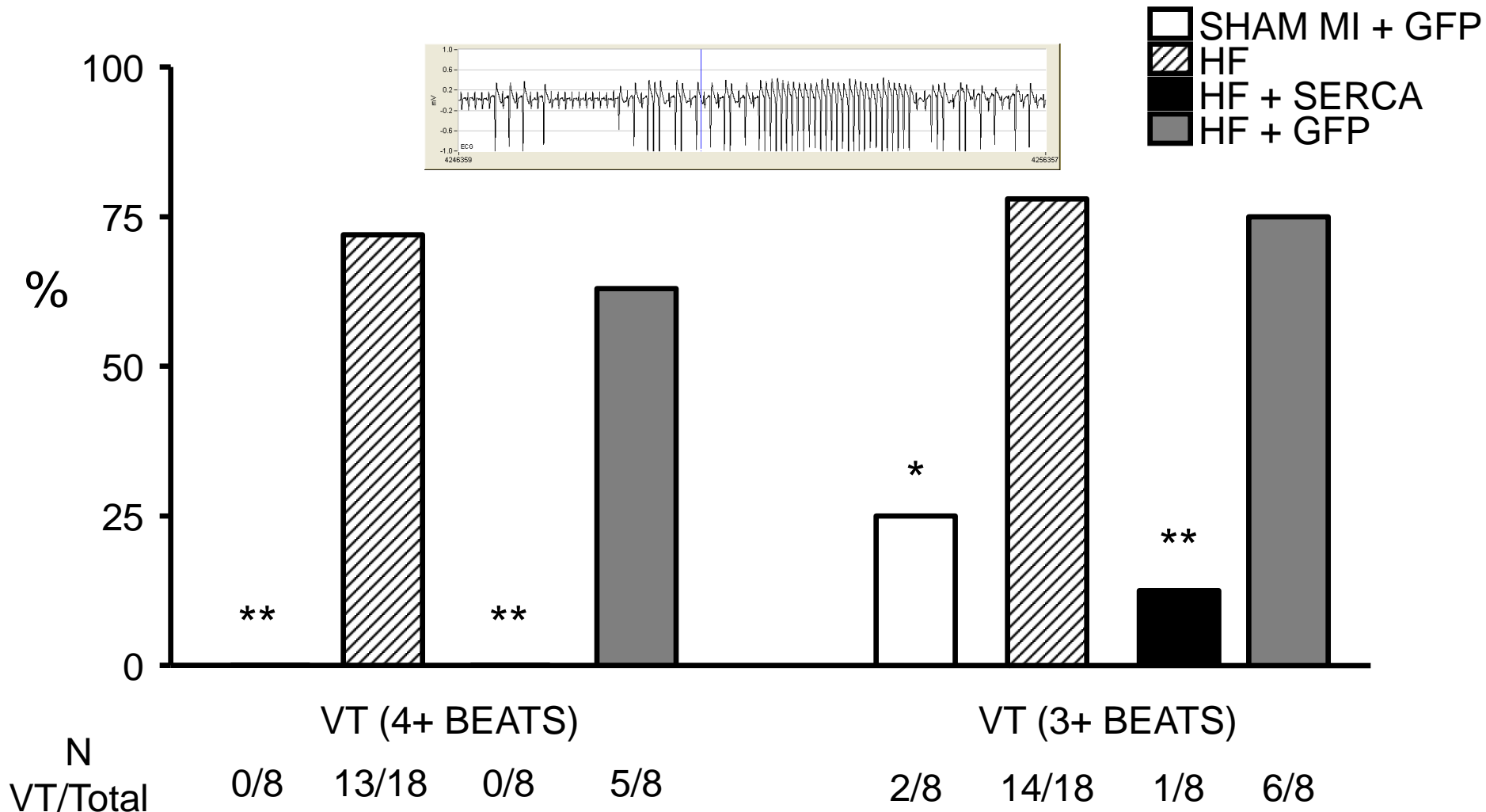


CVAs = Couplets, Triplets, VT and VF

n=8 per study arm *p<0.05, **p<0.01 and ***p<0.001 vs HF and HF + GFP

In Vivo Arrhythmia Assessment ISO-Induced Ventricular Tachycardia

*p<0.05 and **p<0.01 vs HF

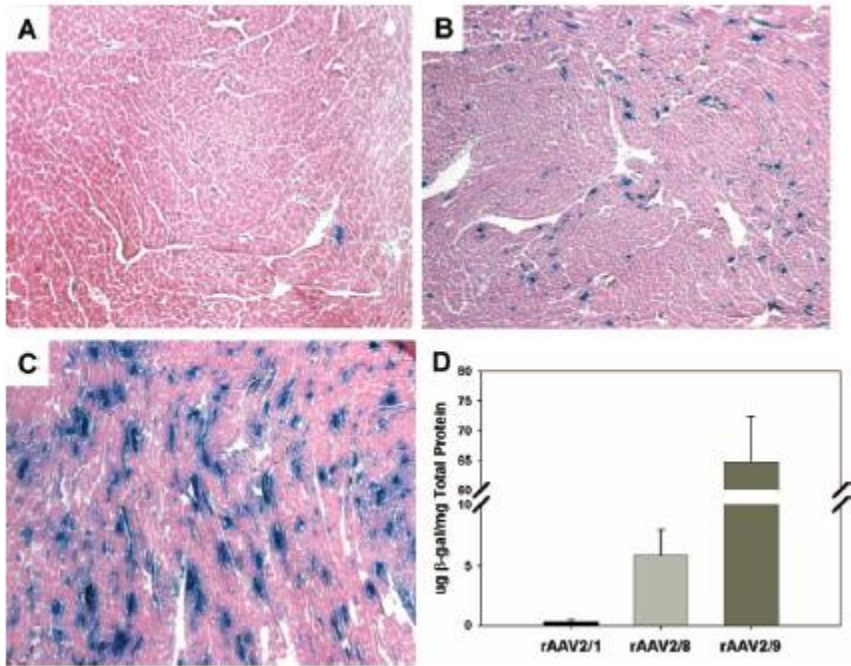


What are the mechanisms underlying the antiarrhythmic effect of SERCA2a gene therapy?

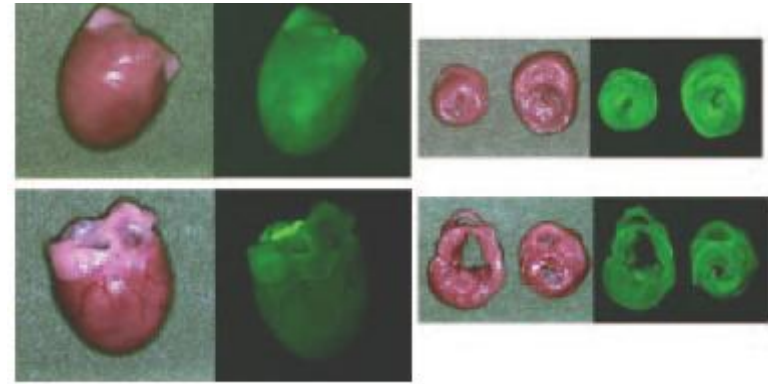
Mechanisms of Arrhythmogenesis in Chronic Heart Failure

- Subcellular
 - Cellular
- Intact heart

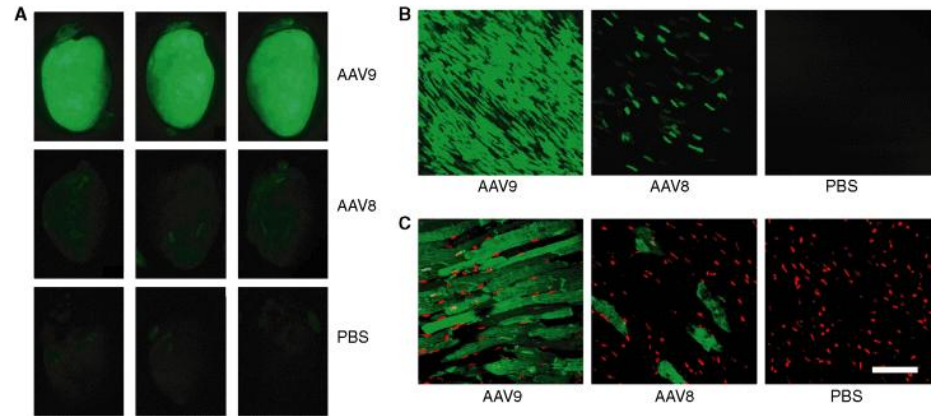
Cardiac Gene Transduction by AAV9 Vectors



Pacak et al Circ Res 2006 99 3-9

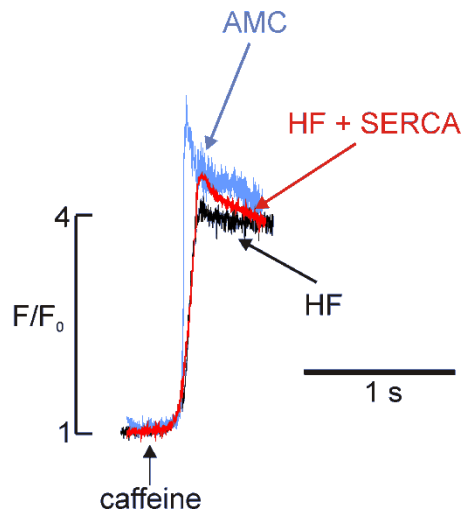
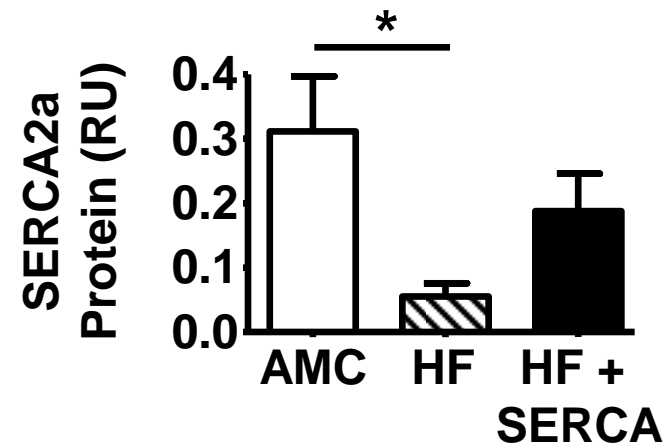
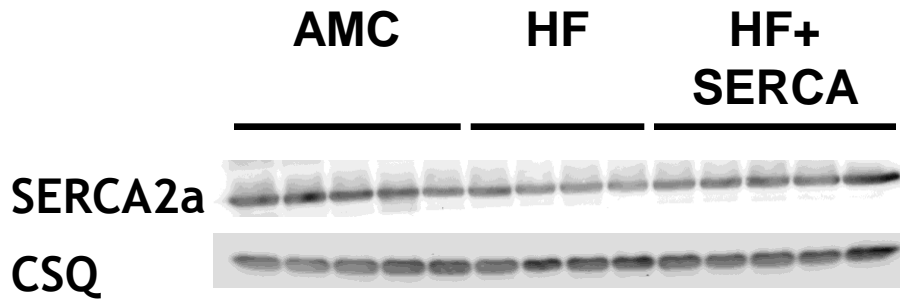


Suckau et al Circ 2009 119 1241-52



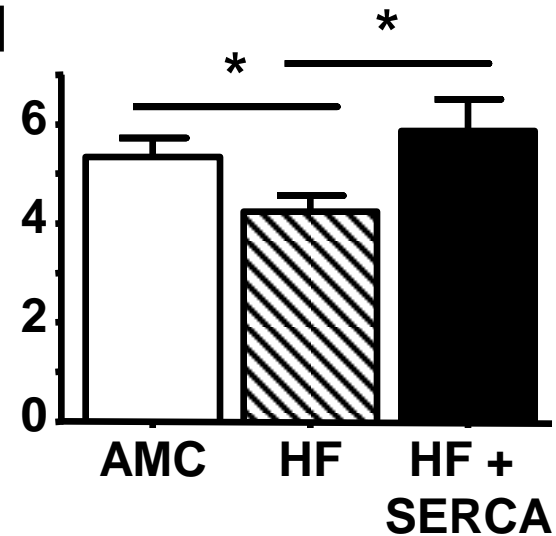
T. Vandendriessche et al. J. Thromb. Haem. 5 16-24

AAV9.SERCA2a gene therapy normalises SR Ca^{2+} content



SR Ca^{2+} Load

Peak Caffeine transient (F/F₀)

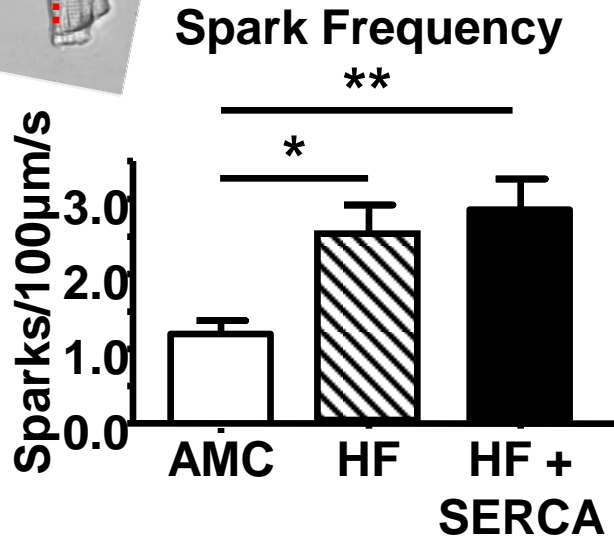
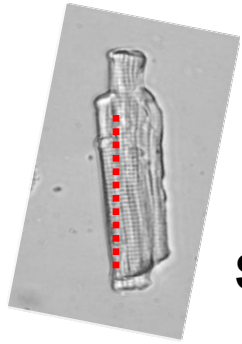


AMC n=8, HF n=12, HF+SERCA n=9 * p<0.05

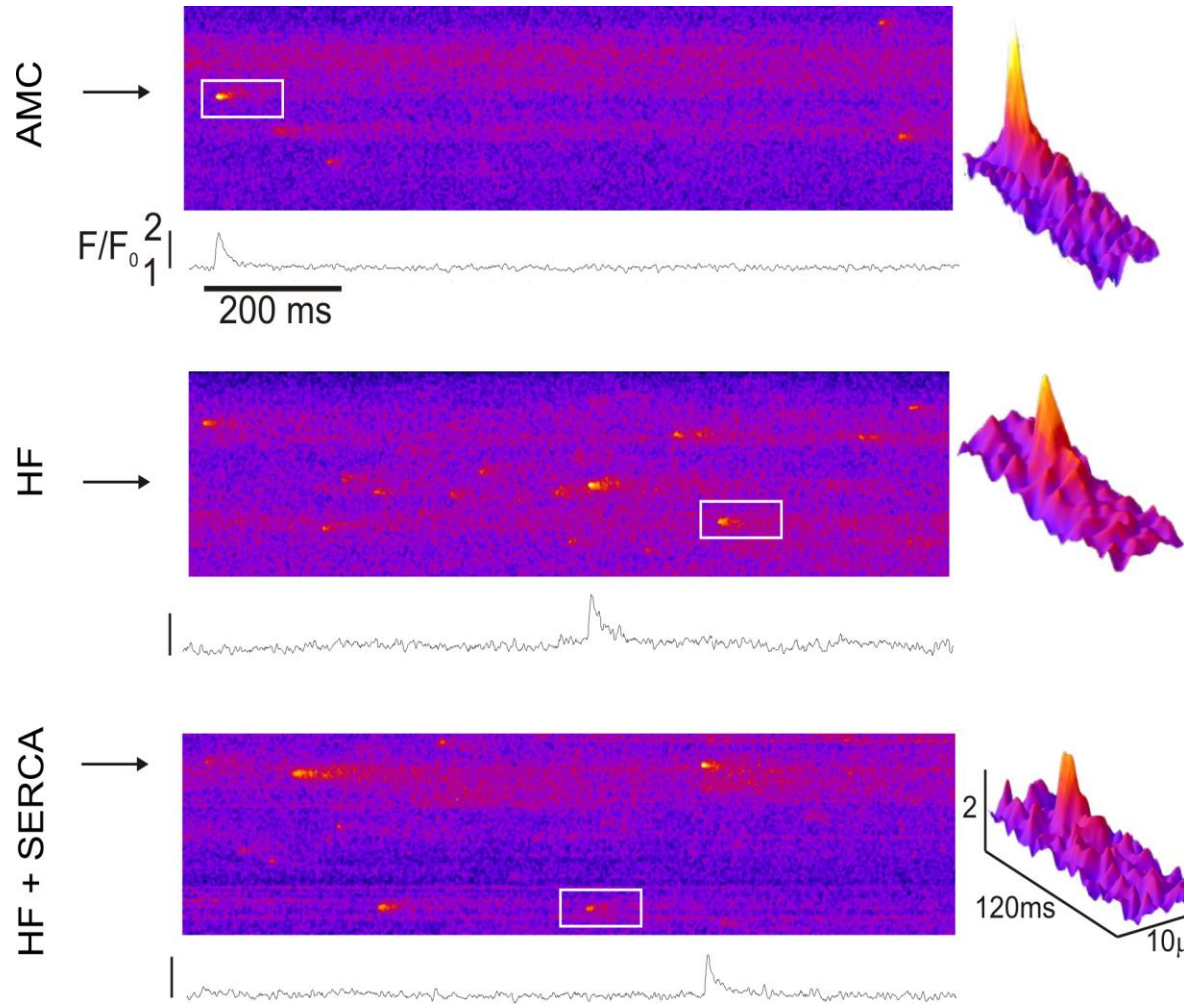
Sparkology



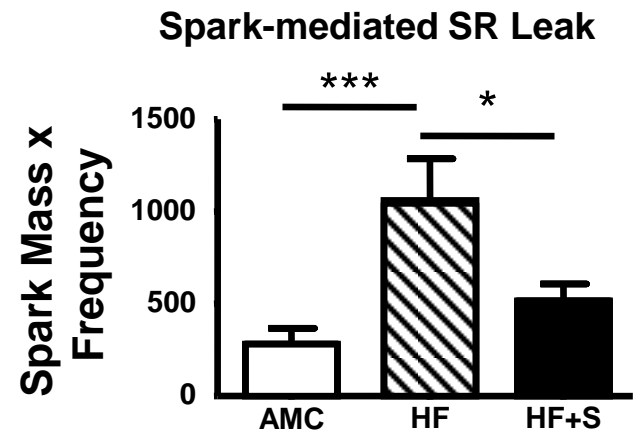
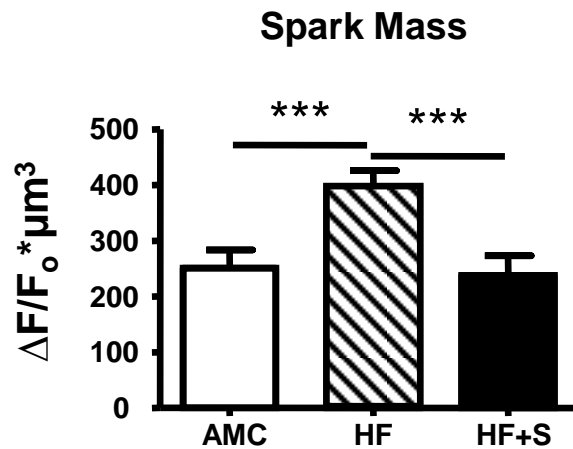
Spontaneous SR Ca²⁺ spark frequency unchanged in failing myocytes after AAV9.SERCA2a gene transfer



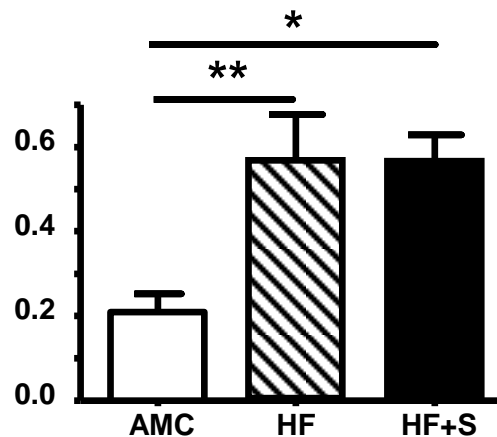
Number of sparks/cells/hearts:
 AMC=247/19/7
 HF=853/30/11
 HF+SERCA=445/10/5
 *p<0.05, **p<0.01



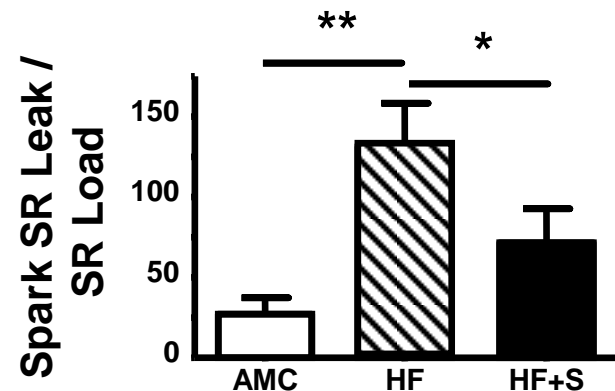
SERCA2a Gene Therapy Reduces Spark-mediated SR Calcium Leak



Spark Frequency/SR Load



Spark-mediated SR Leak / SR Load



Number of sparks/

cells/hearts:

AMC=247/19/7

HF=853/30/11

HF+SERCA=445/10/5

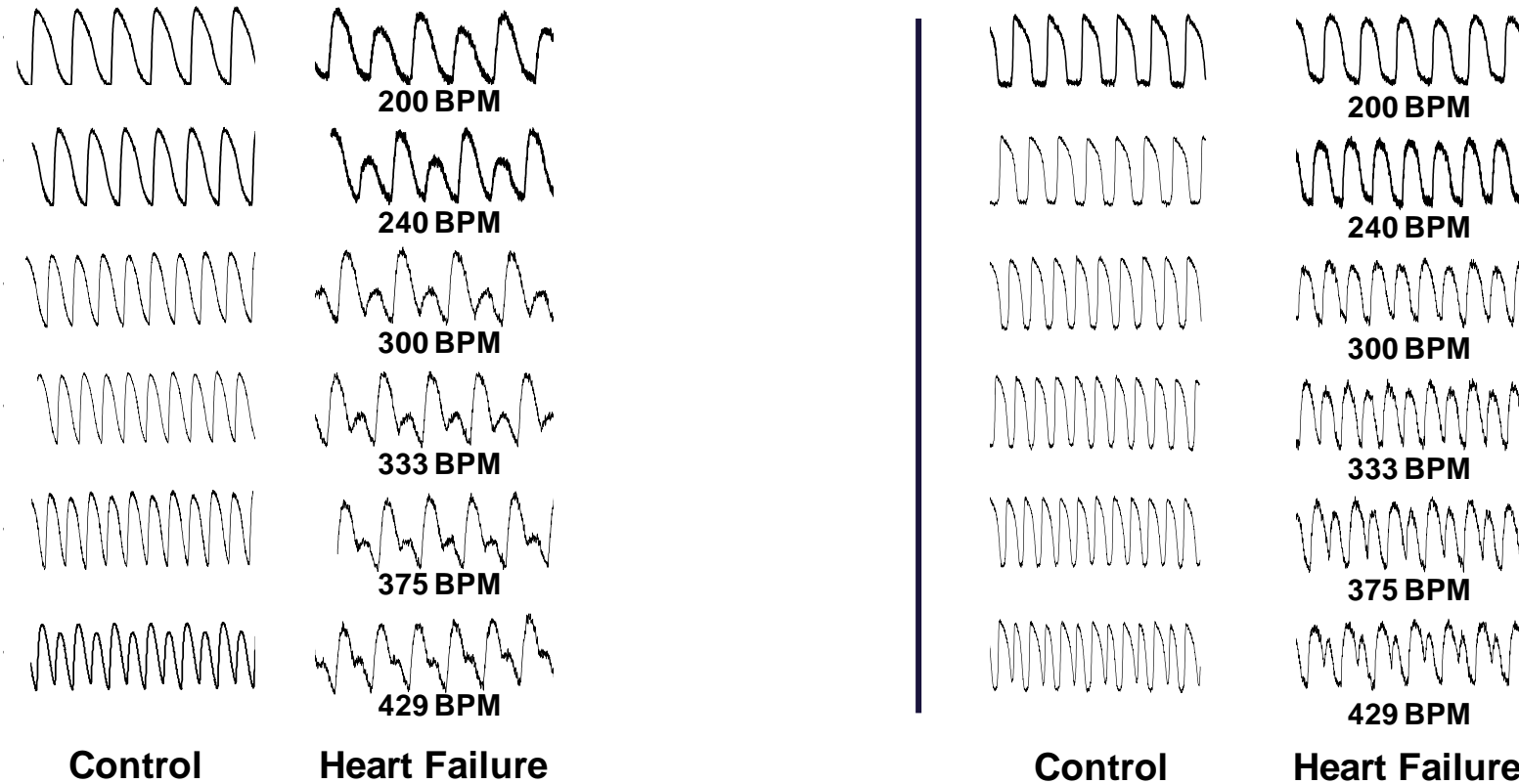
*p<0.05, **p<0.01, R₁₀

***p<0.001

SERCA2a Overexpression Suppresses Ca^{2+} and APD Alternans in the Heart Failure Guinea Pig HF Model +/- AAV9.SERCA2a

Ca^{2+} Alternans

APD Alternans



SERCA2a Gene Therapy

- Positive Inotrope
- Positive Lusitrope
- Antiarrhythmic
- Improves Myocardial Energetics

- Benefits in small and large animal HF models
- Benefits and safe in a small Phase 2 trial

- Await outcome of the 2 clinical trials
 - Clinical endpoints
 - Biological success

Cardiac Gene Therapy

The Future

Cardiac Gene Therapy

The Future

Which Gene?

Cardiac Gene Therapy

The Future

Which Gene?

- siRNA

Long-Term Cardiac-Targeted RNA Interference for the Treatment of Heart Failure Restores Cardiac Function and Reduces Pathological Hypertrophy

Suckau et al Circulation 2009 119 1241-52

- MicroRNA

Silencing of microRNAs *in vivo* with 'antagomirs'

Jan Krützfeldt¹, Nikolaus Rajewsky², Ravi Braich³, Kallanthottathil G. Rajeev⁴, Thomas Tuschl²,
Muthiah Manoharan⁵ & Markus Stoffel¹

Krutzfeldt et al Nature 2005 438 685-689

Cardiac Gene Therapy

The Future

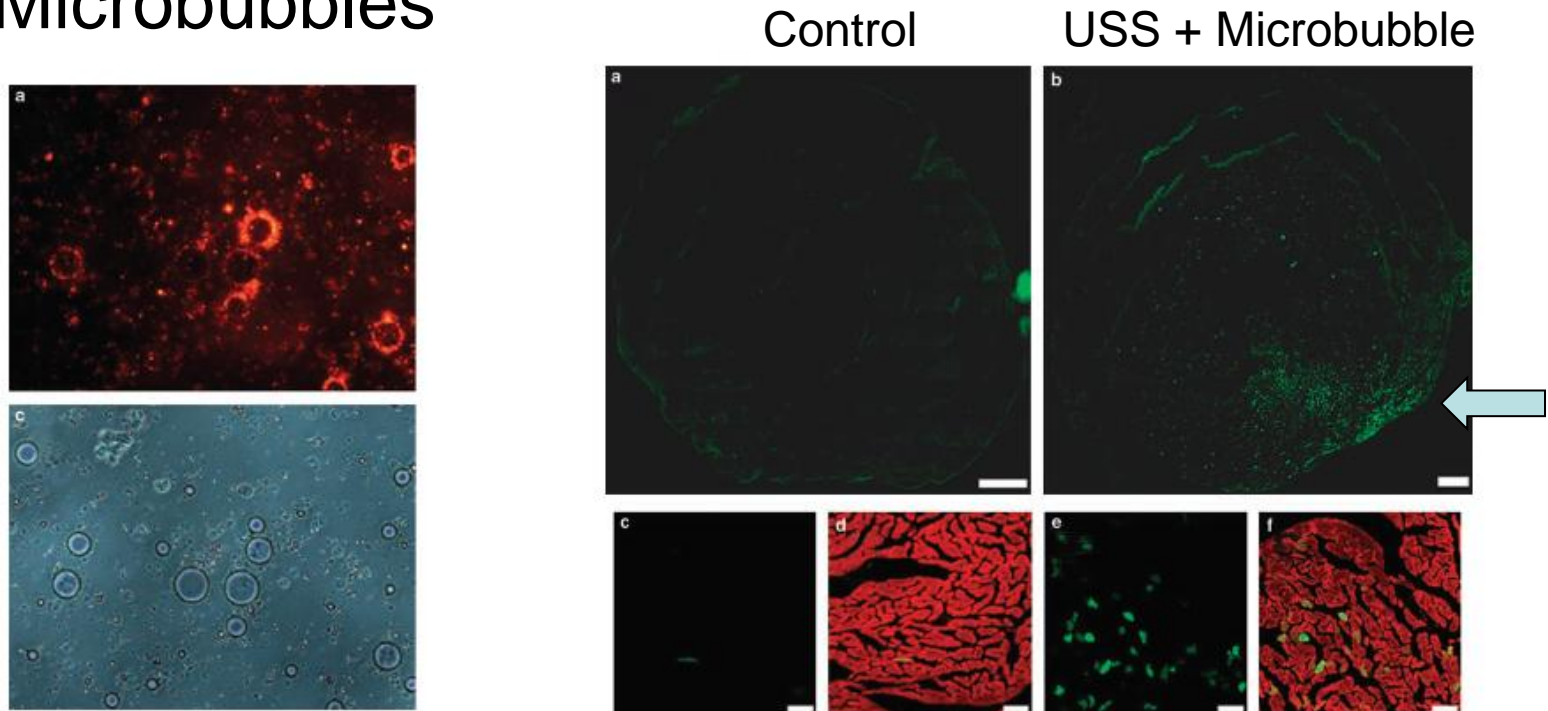
Novel Gene Expression Control Systems

- Tissue specific
 - Promoters
 - Activation
- Drug Inducible
 - 'Physician control'
 - Organ targeting
- Negative feedback eg BNP
 - 'Disease control'

Cardiac Gene Therapy

The Future

- Novel Delivery Techniques
 - Physical Targeting
 - Microbubbles

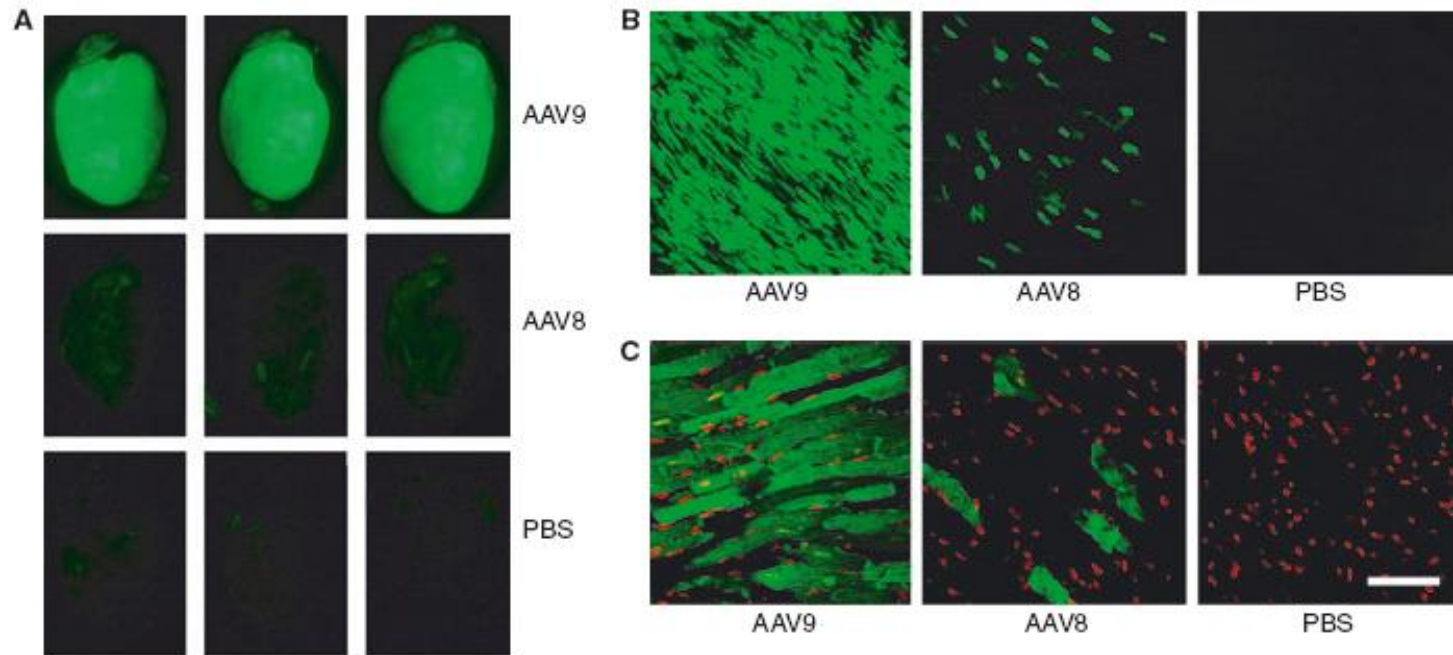


Cardiac Gene Therapy

The Future

Novel Vectors

AAV9



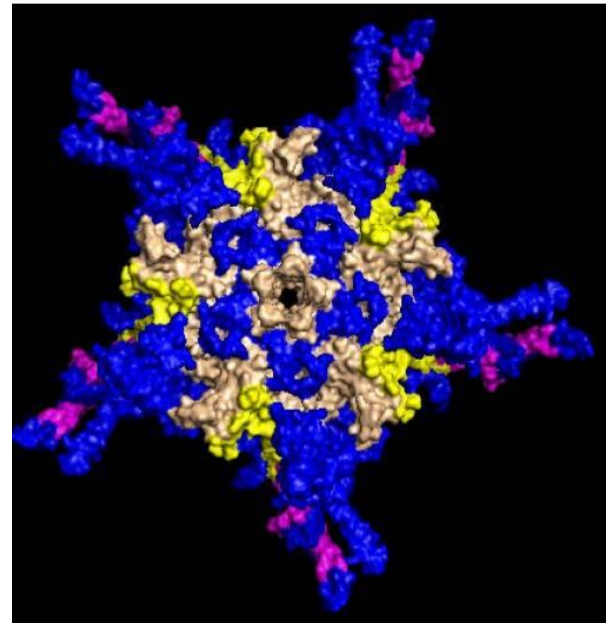
Cardiac Gene Therapy

The Future

Novel vectors

- Bioengineered AAVs
 - Reduced immunogenicity, neutralizing Abs
 - Improve purification
 - Increase cardiac specificity
- Subcutaneous 'biopumps'

Chimeric rAAV



Courtesy of Dr. Jude Samulski

Combined Gene and Cell Therapy Strategies

- Improve Quality of Stem Cell Therapies
 - Delivery
 - Survival
 - Efficacy
 - Cardiomyogenesis
- Improve function of failing CM
 - Paracrine effect
- Inducible Pluripotent Stem Cells (iPSCs)
- Biological Pacemakers (I_f)

Gene Therapy for Heart Failure Summary

- Need for New Therapeutic Strategies for Heart Failure
- Cardiac Gene Therapy
 - Developed on a base of >20 years myocardial biology
 - Regulation stringent
 - New Viral Vectors - AAV
 - safe
 - higher transfection efficiency
 - long lasting expression
- SERCA2a Gene Therapy
 - Positive Inotrope
 - Positive Lusitrope
 - Antiarrhythmic
 - Improves Myocardial Energetics
- Phase 2 SERCA2a trial in US
 - Safe
 - Efficacy
- UK Trial 2011
- EDA approved Phase 3 Trial
- Judge on Clinical Outcomes